

§7.2 GAUSSIAN CURVATURE

LECTURE 24

⑦

In the abstract case we have no shape operator, so  $\det(S) = K$  is not going to work. However, the 2nd structural eq. generalizes nicely.

Thm (2.1) Given  $(M, \langle \cdot, \cdot \rangle)$  there is a unique real-valued function  $K$  s.t. for every frame field on  $M$  the 2nd structural eq.  $dw_2 = -K \theta_1 \wedge \theta_2$  holds. We call  $K$  the Gaussian curvature of  $M$ .

Proof: For a given frame field, two-dim'd calculus reveals  $\exists K$  s.t.  $dw_2 = -K \theta_1 \wedge \theta_2$ . Likewise, for  $E_1, E_2$  frame,  $dw_2 = -K \theta_1 \wedge \theta_2$ . We need to show  $K = \bar{K}$  if the frame domains overlap. Recall from last section  $\bar{w}_2 = \pm(w_2 + d\phi)$  and  $\bar{\theta}_1 \wedge \bar{\theta}_2 = \pm(\theta_1 \wedge \theta_2)$  (oriented same way) - (reverse oriented)

Consider then,  $d\bar{w}_2 = d(\pm(w_2 + d\phi)) = \pm(dw_2 + d(d\phi)) = \pm K \theta_1 \wedge \theta_2 = \pm K (\pm \bar{\theta}_1 \wedge \bar{\theta}_2) = -K \bar{\theta}_1 \wedge \bar{\theta}_2 = -\bar{K} \bar{\theta}_1 \wedge \bar{\theta}_2 \Rightarrow \bar{K} = K$  //

Remark:  $K$  is determined w/o regard to the orientation of the frame. We can calculate  $K$  for non-orientable surfaces.

$$\mathbb{R}^2 \quad \begin{pmatrix} E=1 \\ F=0 \\ G=1 \end{pmatrix} \longleftrightarrow \begin{pmatrix} E=1 \\ F=0 \\ G=1 \end{pmatrix}^T$$

$$= \overline{ac + bd}$$

$$+ bd \langle \underline{x}^u, \underline{x}^v \rangle$$

$$= ac \langle \underline{x}^u, \underline{x}^u \rangle + (ad+bc) \langle \underline{x}^u, \underline{x}^v \rangle +$$

$$\langle a\underline{x}^u + b\underline{x}^v, c\underline{x}^u + d\underline{x}^v \rangle$$

8.5

Frame Geometry Eq's

$$\begin{aligned} d\theta_1 &= \omega_2 \wedge \theta_2 \\ d\theta_2 &= \omega_1 \wedge \theta_1 \\ d\omega_2 &= -k \theta_1 \wedge \theta_2 \end{aligned}$$

TRIVIAL EXAMPLE:

$M = \mathbb{R}^2$  with  $\theta_1, \theta_2$  frame has coframe  $dx, dy$   
 $\theta_1 = dx$  and  $\theta_2 = dy$ . Clearly  $d\theta_1 = 0, d\theta_2 = 0$   
 thus  $\omega_2 = 0$  and  $d\omega_2 = 0 \Rightarrow k = 0$ .

EXAMPLE (a.a) A FLAT TORUS ( $\mathbb{R}^2 / \mathbb{Z}^2$ ) =  $(R + r \cos u) \cos v, (R + r \cos u) \sin v, r \sin u$ )

Let  $T$  be torus of revolution and define

$$\begin{aligned} \langle \mathbb{R}u, \mathbb{R}u \rangle &= 1 \\ \langle \mathbb{R}u, \mathbb{R}v \rangle &= 0 \\ \langle \mathbb{R}v, \mathbb{R}v \rangle &= 1 \end{aligned}$$

fix  $u = u_0$   
 get circle in  
 $\mathbb{R}^3 = r \sin u_0 \text{ plane}$   
 of radius  
 $r \sin u_0$ .

Then define  $(T, \langle, \rangle)$  or simply  $T_0$ . Observe

$\mathbb{R}^*(v_1) = \mathbb{R}u$  and  $\mathbb{R}^*(v_2) = \mathbb{R}v$  hence  
 $K(T_0) = K(\mathbb{R}^2) = 0$ , its isometric to plane,  
 I should clarify the Euclidean plane.

Remark:  $T_0 \subset \mathbb{R}^3$  however,  $\langle, \rangle$  is not induced from Euclidean metric on  $\mathbb{R}^3$ .

Remark:  $T_0$  is compact subset of  $\mathbb{R}^3$  as constructed thus if given geometry induced from its shape in  $\mathbb{R}^3$  meaning the metric of  $T_0$  were induced from  $\mathbb{R}^3$  cannot be even when flat ( $K = 0$  on  $T_0$ ) as  $\mathbb{R}^2$  (3.5) of (cpt. 6  $\Rightarrow K(p) > 0$  at least one point of  $T_0$   $\therefore$  the metric we gave to  $T_0$  cannot be realized as a restriction of the Euclidean metric on  $\mathbb{R}^3$

BIG PICTURE:

- BEFORE CHAPTER 7: STUDIED SURFACES IN  $\mathbb{R}^3$  WHOSE METRIC WAS INDUCED FROM METRIC ON  $\mathbb{R}^3$
- CHAPTER 7 and Beyond: METRIC GIVEN TO ABSTRACT SURFACE NEED NOT BE INDUCED FROM AMBIENT CONTEXT.

However, it can be on all surfaces studied in previous chapters are also GEOMETRIC SURFACES

Remark: Given a geometric surface  $M$  when does  $\exists N \in \mathbb{N}$  and  $\bar{M} \subset \mathbb{R}^N$  for which  $F: M \rightarrow \bar{M} \subset \mathbb{R}^N$  is an isometry? Just because  $n=3$  fails for terms ~~embedding~~ doesn't mean it cannot fit inside larger  $\mathbb{R}^n$ ...

See Whitney embedding thm's and I think for our context, Nash gave the isometric version (Whitney just focused on abstract surface, no geometry)

Remark:  $\mathbb{R}^2$  with  $\Delta(u,v) = (u,v)$  and  $<, >$  above is conformal, I mean the patch is conformal. See Remark 1.3 pg. 323. *isometric to plane*

Hence  $|K| = h^2 \Delta \log(h)$

\*

$$= \frac{\partial}{\partial u} \left[ \frac{1}{h} \frac{\partial v}{\partial y} \right] + \frac{\partial}{\partial v} \left[ \frac{1}{h} \frac{\partial u}{\partial y} \right]$$

$$\Delta \log(h) = \frac{\partial^2}{\partial u^2} [\log(h)] + \frac{\partial^2}{\partial v^2} [\log(h)]$$

The fact this can be expressed as  $h^2 \Delta \log(h)$  follows from Ex. #2 of §6.6 where we learn  $\Delta f = f_{uu} + f_{vv}$  the LAPLACIAN.

$$= - \left( \frac{\partial v}{\partial y} \right)^2 - \left( \frac{\partial u}{\partial y} \right)^2 + h \left( \frac{\partial v}{\partial y} + \frac{\partial u}{\partial y} \right)$$

$$= h^2 \left[ \frac{1}{4} \left( \frac{\partial v}{\partial y} \right)^2 + \frac{1}{4} \left( \frac{\partial u}{\partial y} \right)^2 + \frac{\partial v}{\partial y} + \frac{\partial u}{\partial y} \right]$$

$$= h^2 \left( \frac{\partial}{\partial u} \left[ \frac{1}{h} \frac{\partial v}{\partial y} \right] + \frac{\partial}{\partial v} \left[ \frac{1}{h} \frac{\partial u}{\partial y} \right] \right)$$

$$= -h^2 \left( \frac{\partial}{\partial u} \left[ h \left( \frac{\partial v}{\partial y} \right)^2 \right] + \left[ \frac{\partial v}{\partial y} + \frac{\partial u}{\partial y} \right] \right)$$

$$K = - \frac{\sqrt{EG}}{h} \left( \frac{\partial}{\partial u} \left[ \frac{\sqrt{E}}{h} \frac{\partial \sqrt{G}}{\partial y} \right] + \frac{\partial}{\partial v} \left[ \frac{\sqrt{G}}{h} \frac{\partial \sqrt{E}}{\partial y} \right] \right)$$

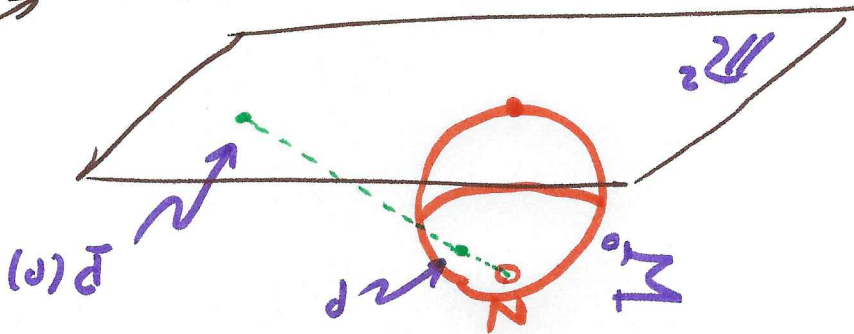
Proof: Note  $E = 1/h^2 = G$  and  $F = 0$ . Also we found for orthogonal patches ( $F=0$ ) that

Cor. (a.3): If  $\mathbb{R}^2$  has  $\langle v, w \rangle_p = \frac{1}{h^2(p)} \langle v, w \rangle$  then,

$$K = h(h_{uu} + h_{vv}) - (h_u^2 + h_v^2) = h^2 \Delta \log h$$

(1.) THE STEREOGRAPHIC SPHERE (see Ex. 5.5 of Chpt. 4)

- Let  $\Sigma$  be unit sphere resting on  $xy$ -plane  $N$  with center at  $(0,0,1)$ . Let  $\Sigma_0 = \Sigma - \{(0,0,2)\}$
- Imagine light source at top  $N$  and for each  $P \in \Sigma_0$  let  $P(P)$  be shadow of  $P$  in the  $xy$ -plane
- Here we identify  $\mathbb{R}^2$  with  $\mathbb{R}^2 \times \{c\}$  for convenience.



punctured sphere, is missing North Pole

page 167 has derivation. It's similar  $\Delta$  and some trig/algebra.

$$P(P, P_1, P_2) = \left( \frac{2P_1}{2-P_3}, \frac{2P_2}{2-P_3} \right)$$

Clearly  $P: \Sigma_0 \rightarrow \mathbb{R}^2$  is smooth. In fact  $P^{-1}: \mathbb{R}^2 \rightarrow \Sigma_0$  is likewise smooth.

$$P^{-1}(x,y) = (P_1, P_2, P_3)$$

Just solve  $x = \frac{2P_1}{2-P_3}$  and  $y = \frac{2P_2}{2-P_3}$  for  $P_1, P_2, P_3$  given  $P_3 \geq 0, P_1^2 + P_2^2 + (P_3 - 1)^2 = 1$ .

$$\begin{aligned} x(2-P_3) &= 2P_1 \rightarrow 2P_1 + xP_3 = 2x \\ y(2-P_3) &= 2P_2 \rightarrow 2P_2 + yP_3 = 2y \end{aligned}$$

etc... it's just algebra 😊.

Remark: remind me to give handout from pages 120-122 and Chpt. 15... of John McCleary's "GEOMETRY FROM A DIFFERENTIAL VIEWPOINT"

(1.) STEREOGRAPHIC SPHERE CONTINUED:

• pull-back the Euclidean metric  $ds^2 = dx^2 + dy^2$  on  $\mathbb{R}^2$  under the stereographic projection  $\mathbb{R}^2 \rightarrow \Sigma_0$

map  $P: \Sigma_0 \rightarrow \mathbb{R}^2$ . It follows the abstract surface  $\Sigma_0$  paired with  $P^*(g_{\mathbb{R}^2})$ .

is FLAT!  $K(\Sigma_0) = 0$ .

• "intrinsically"  $\Sigma_0$  is as flat as the Euclidean plane.

(2.) The STEREOGRAPHIC PLANE: now turn the tables and pull-back the usual induced (curved) metric on  $\Sigma_0$  to the plane  $\mathbb{R}^2$  (originally thought of w/o geometry!)

to obtain  $(\mathbb{R}^2, (P^{-1})^*(g_{\text{sphere}}))$  the STEREOGRAPHIC PLANE.

• intrinsically this non-Euclidean plane  $\Sigma_0$  is curved just like the sphere  $\Sigma_0$ , it has constant Gaussian curvature  $K=1$ .

(calculation: (see 332) you can show

$$\langle v, w \rangle = (P^{-1})^*(v) \cdot (P^{-1})^*(w) = \left(1 + \frac{4}{\|q\|^2}\right) v \cdot w$$

Hence the stereographic plane is conformally related to the Euclidean plane with

$$h(u, v) = 1 + \frac{4}{u^2 + v^2}$$

Rulers get longer as they move further from origin. Small circle at  $N$  on  $\Sigma_0$  gives stupidly large circle in stereographic plane. See nbd of  $\infty$  in  $\mathbb{C}$  - variable...

Example 2.5 (THE HYPERBOLIC PLANE)

Use ruler function  $h = 1 - \frac{4}{u^2 + v^2}$  as opposed to (+) for stereographic plane... Since  $h > 0$  is needed we consider  $u^2 + v^2 < 4$  given this non-Euclidean geometry: the (H) Poincaré disk model of hyperbolic plane

$\langle v, w \rangle = \frac{v \cdot w}{h^2}$  for  $v, w \in \{(u, v) \mid u^2 + v^2 < 4\}$

(one'll does not give us the mappings from

$\mathbb{R}^2 \rightarrow (H, \langle \cdot, \cdot \rangle)$  which is an isometry\*)

However, we showed  $K = h^2 \Delta \log h$  hence

~~$K = h^2 \left( \frac{e^2}{u^2} + \frac{e^2}{v^2} + \frac{2}{4} \right) \left( 1 - \frac{4}{u^2 + v^2} \right)$~~

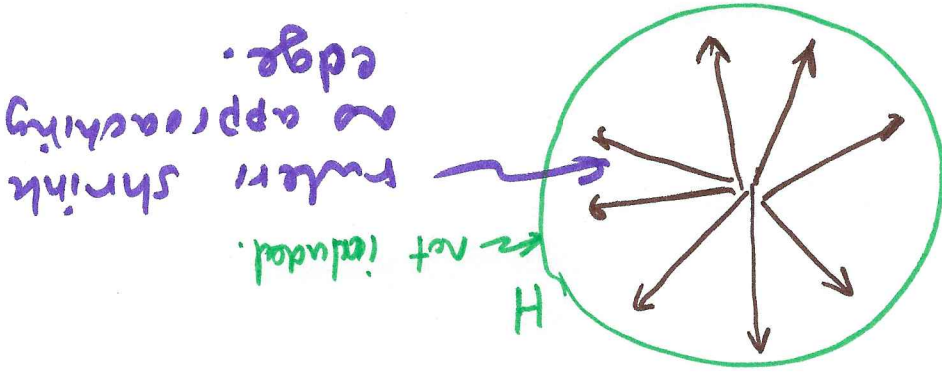
why? instead?

$= h (h_{uu} + h_{vv}) - h_u^2 - h_v^2$

$= \left( 1 - \frac{4}{u^2 + v^2} \right) \left( -\frac{2}{u^2} - \frac{2}{v^2} \right) + \frac{4}{u^2} + \frac{4}{v^2}$

$= -1$

Despite \* we've said something interesting





Ex 2.5: HYPERBOLIC PLANE, CONTINUED

$(\mathbb{R}^2, \langle, \rangle)$  paired has  $\langle v, w \rangle = \frac{1}{2}$

$$h(u, v) = 1 - \frac{u \cdot v}{2}$$

Note,  $h > 0 \Rightarrow u^2 + v^2 < 4$  we define

$H = \{ (u, v) \mid u^2 + v^2 < 4 \}$  paired with  $\langle, \rangle$

above as the HYPERBOLIC PLANE

$$r(t) = (t \cos \theta, t \sin \theta)$$

for  $0 \leq t < 2$

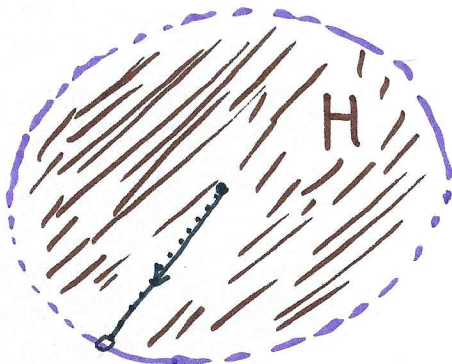
Find length of this arc.

(in hyperbolic sense)

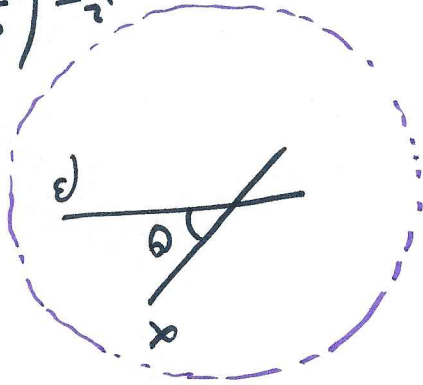
$$\frac{ds}{dt} = \|r'(t)\| = \sqrt{\langle r'(t), r'(t) \rangle} = \frac{1}{1 - t^2/4}$$

$$s(t) = \int_0^t \frac{1 - (u/2)^2}{u} du = 2 \tanh^{-1} \left( \frac{t}{2} \right) = \ln \left( \frac{t+2}{2-t} \right)$$

As  $t \rightarrow 2^-$  we find  $s(t) \rightarrow \infty$ .



$$\Theta_{\text{Euclidean}} = \Theta_{\text{hyperbolic}} \iff \frac{\langle \alpha', \beta' \rangle}{\|\alpha'\| \|\beta'\|} = \cos \theta_2 \iff \frac{\langle \alpha', \beta' \rangle}{\|\alpha'\| \|\beta'\|} = \frac{\langle \alpha', \beta' \rangle}{\|\alpha'\|_H \|\beta'\|_H}$$



angles preserved from Euclidean to hyperbolic.

$$\cos \theta_2 = \frac{\langle \alpha', \beta' \rangle}{\|\alpha'\| \|\beta'\|} = \frac{\langle \alpha', \beta' \rangle}{\|\alpha'\|_H \|\beta'\|_H} = \frac{\langle \alpha', \beta' \rangle}{\frac{1}{2} \frac{\langle \alpha', \beta' \rangle}{\|\alpha'\| \|\beta'\|}} = 2 \frac{\langle \alpha', \beta' \rangle}{\langle \alpha', \beta' \rangle} = 2$$

Let  $F: M \rightarrow N$  be regular mapping of a geometric surface  $M$  onto a surface  $N$  (with geometry). Suppose that whenever  $F(p) = F(p')$  there is an isometry  $G_2$  from a neighborhood of  $p'$  to a neighborhood of  $p$  s.t.  $F \circ G_2 = F$ ,  $G_2(p') = p$ . Then there is a unique metric tensor on  $N$  that makes  $F$  a local isometry.

Proof: If  $F$  is a local isometry then we must

require  $\langle v, w \rangle_M = \langle F_*(v), F_*(w) \rangle_N$ . Oops he  
 at  $p$   $q = F(p)$   
 use  $v/w$  for  $F_*(v), F_*(w)$  in both prof. (fixed 😊)

Oh, following O'Neill set  $v = F_*(v_1), w = F_*(w_1)$  to be vectors in  $T_{F(p)}N$  and so define  $\langle v, w \rangle_N = \langle v_1, w_1 \rangle_M$ . (\*)

What if  $F(p_2) = F(p_1) = q$ ? Let  $v_2, w_2$  be the vectors (unique by regularity which gave  $v_1$  for  $F_*$ ) vectors in  $T_{p_2}M$  for which  $F_*(v_2) = v$  and  $F_*(w_2) = w$ . Following (\*)

$\langle v_1, w_1 \rangle_N = \langle v_2, w_2 \rangle_M$

Obviously, we have a problem if  $\langle v_1, w_1 \rangle_M \neq \langle v_2, w_2 \rangle_M$ ! However, the  $G_2$  isometry saves the day.

$(G_2)_*: T_{p_2}M \rightarrow T_{p_1}M$

$\langle v_1, w_1 \rangle_M = \langle G_{12}^*(v_1), G_{12}^*(w_1) \rangle_M = \langle v_2, w_2 \rangle_M$

Example 2.7 (THE PROJECTIVE PLANE)

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Projective plane  $P$  is abstract surface  $\Sigma^2 \subset \mathbb{R}^3$  formed from identifying antipodal points on  $\Sigma^2 \subset \mathbb{R}^3$

$$P = \{ \{p, -p\} \mid p \in \Sigma^2 \}$$

See pg. 194-195 for a few more comments by Reid. Thus he defines  $A(p) = -p$  and  $F(p) = \{p, -p\}$

and notes  $F \circ A = F$ . Note,  $A$  is an

isometry. Thus  $F: \Sigma^2 \rightarrow P$  together with

$A = G_{12}$  (in notation of Prop. 2.6 just proved)

Hence  $P$  is given metric by pushing forward

the sphere metric of  $\Sigma^2$ ,  $F$  is not 1-1 but as

there is isometry  $A$  connecting points in fibers of

$F$  the construction of  $\langle \cdot, \cdot \rangle_P$  goes through.

If  $dF(v_1) = v$  and  $dF(v_2) = w$  then define

$$\langle v, w \rangle_P = \langle dF(v_1), dF(v_2) \rangle_{\Sigma^2}$$

Hence  $P$  and  $\Sigma^2$  are <sup>locally</sup> isometric and  $P$

has  $K = 1$  and geodesics are closed (why?)

Remark: Same construction for  $\Sigma^r$  gives projective plane of radius  $r$  with  $K = 1/r^2$ .

Remark:  $P$  cannot be found in  $\mathbb{R}^3$  \* It is

(compact but  $P$  is not orientable (all compact surfaces in  $\mathbb{R}^3$  are orientable, see pg. 190...))

Question: why is MOBIUS STRIP NOT compact?

\* it seems  $P$  being "in"  $\mathbb{R}^3$  requires geometry from  $\mathbb{R}^3$  being reduced related to  $P$ ...

### Example 2.8 (TANGENT SURFACES)

For  $n \geq 3$ , let  $\beta$  be unit-speed in  $\mathbb{R}^n$  with  $\kappa = \|\beta'\| > 0$ . Define,

$$\Sigma(u, v) = \beta(u) + vT(u)$$

with  $v \neq 0$ . Then,

$$\begin{aligned} \Sigma_u &= T + vT' \\ \Sigma_v &= T \end{aligned}$$

$$\begin{aligned} \text{Then,} \\ E &= \Sigma_u \cdot \Sigma_u = 1 + v^2 \kappa^2 \\ F &= \Sigma_u \cdot \Sigma_v = 1 \\ G &= 1 \end{aligned}$$

$$\text{Observe } E G - F^2 = v^2 \kappa^2 > 0$$

(This is why  $v \neq 0$  is req'd)

$$\Rightarrow \|\Sigma_u \times \Sigma_v\|^2 > 0 \Rightarrow \Sigma_u \times \Sigma_v \neq 0$$

$\Rightarrow \Sigma$  regular.

Exercise #13 of §5.4 pg. 233 shows the surface so constructed in  $\mathbb{R}^3$  is flat. Here, for  $\mathbb{R}^n$

we have some  $\overline{E, F, G}$  thus  $\kappa$  is determined by  $E, G$  it follows  $\kappa = 0$  for this example also.