

Problems due on Friday next.

Hwh 1 pg. 10 # 1 & 6 gave handout to break it down a little.  
He'll follow his notes for a while.

Def<sup>n</sup>/ Let  $G$  be a group with a multiplicative group operation.

Let  $m: G \times G \rightarrow G$  be the product map

$$m(x, y) = xy$$

and let  $i: G \rightarrow G$  be the inversion map

$$i(x) = x^{-1}$$

$G$  is a Lie group iff  $G$  is a  $C^\infty$  manifold  
and  $m$  and  $i$  are  $C^\infty$  maps.

remark: a  $C^2$  group automatically has another atlas for which the group is  $C^\infty$ . So restricting to  $C^\infty$  is not too restrictive, although it dodges some difficult thms.  
See Van Warner. QFT book.

(BD) Lie group is a smooth manifold which is also a group such that  $m$  is smooth

$$x^{-1}y = e$$

$$m(i(x), y) = e$$

Can use inverse funct. theorem to prove  $i$  smooth near identity then can use left translations & Thm's on pg. 126.

Examples

$$(1.) (\mathbb{R}^+, +)$$

$$(2.) U(1) = \{z \in \mathbb{C} \mid |z| = 1\}$$



(3.)  $gl(n)$  = set of all nonsingular  $n \times n$  real matrices.

Let  $gl(n)$  denote the set of all  $n \times n$  matrices

$$(A+B)_{ij}^i = A_{ij}^i + B_{ij}^i \quad \begin{matrix} \leftarrow & \text{row} \\ \leftarrow & \text{column} \end{matrix}$$

$$(2A)_{ij}^i = 2A_{ij}^i$$

$$\|A\| = \sqrt{\sum_{i,j}^n (A_{ij}^i)^2}$$

$$\begin{pmatrix} A_1^1 & A_1^2 \\ A_2^1 & A_2^2 \end{pmatrix} \xrightarrow{\psi} (A_1^1, A_1^2, A_2^1, A_2^2)$$

$\psi: gl(n, \mathbb{R}) \cong \mathbb{R}^{n^2}$  and w.r.t. norm on  $gl(n, \mathbb{R})$   
just introduced  $\|\psi(A)\| = \|A\|$ . So  $gl(n, \mathbb{R})$   
is clearly a normed linear space (Banach Space)

The mapping

$$\tilde{m}: gl(n) \times gl(n) \longrightarrow gl(n)$$

$$\tilde{m}(A, B) = AB$$

is bilinear. Can calculate the 1st Fréchet derivative  
on  $gl(n) \times gl(n)$  easily, could give  $gl(n) \times gl(n)$  a norm.

$$D_{(A,B)} \tilde{m}(H, K) = AK + HB$$

$$\text{Alternatively } \tilde{m}_{ij}^{ik}(A, B) = (AB)_{ij}^i = \sum_{k=1}^n A_{ik}^i B_j^k = \text{polynomial} \\ \therefore \text{smooth.}$$

(3.) Continued. If  $A \in \mathcal{M}(n)$  then

$$A^{-1} = \frac{1}{\det(A)} \text{cof}(A)$$

$\text{cof}(A)$  = cofactor matrix.

Now then the f-la for  $A^{-1}$  is a rational function of the entries of  $A$  and as such it is smooth.

$$m = \tilde{m} \Big|_{\mathcal{M}(n) \times \mathcal{M}(n)}$$

$$\mathcal{M}(n) = \det^{-1}(\mathbb{R} \setminus (-\infty, 0) \cup (0, \infty)) = \{A \mid \det(A) \neq 0\}$$

Now  $\det : \mathcal{M}(n) \rightarrow \mathbb{R}$  is a continuous frct. and  $\mathbb{R} - \{0\}$  is open therefore

$\mathcal{M}(n)$  is open in  $\mathcal{M}(n)$ . Hence  $m = \tilde{m}|_{\mathcal{M}(n)}$  is the restriction of the smooth map  $m$  onto open subset of  $\mathcal{M}(n)$  ∴ it is smooth.  $\mathcal{M}(n)$  forms an open submanifold of  $\mathcal{M}(n)$ .

————— //

$\mathcal{M}(n, \mathbb{C}) = n \times n$  complex matrices

$$\boxed{\langle A, B \rangle = \sum_{i,j=1}^n A_j^i \bar{B}_j^i}$$

there is a similar real inner product on  $\mathcal{M}(n, \mathbb{R})$ .

Anyway  $\mathcal{M}(n, \mathbb{C})$  is a complex Hilbert space.

Left Translation

$G \times G \xrightarrow{m} G$  induces  $G \xrightarrow{l_a} G$

$$\begin{aligned} G &\longrightarrow \{a\} \times G \xrightarrow{m} G \\ x &\longrightarrow (a, x) \longrightarrow ax \end{aligned}$$

$$l_a(x) = ax$$

$$l_a: G \rightarrow G$$

Clearly smooth mapping on  $G$ .

$$\text{Property: } l_a^{-1} = l_{a^{-1}}$$

Charts at identity give new charts under left translation  $(U, x)_e \mapsto (aU, x \circ l_a^{-1})$  and so the identity chart is important, the exponential map will cover that.

#

8/25/06

Theorem /  $G$  a Lie Group with identity  $e$

$\text{Th}^m/G$ : Lie Group

$e$ : identity

$(U, \chi)$ : chart of  $G$  at  $e$

Then

$l_a(U)$  is open in  $G$   $\forall a \in G$

$$\alpha = \{ (l_a(U), \chi \circ l_a^{-1}) \mid a \in G \}$$

is a subatlas of the diff. structure of  $G$

- A diff. structure is a maximal atlas. You can have different subatlases which will generate the same maximal atlas.
- $(V, \gamma)$  a chart of some manifold then know  $\gamma(V) \stackrel{\text{open}}{\subseteq} \mathbb{R}^n$  and  $V \xrightarrow{\gamma} \gamma(V)$  gives a diffeomorphism of  $V$  &  $\gamma(V)$ . So an alternative characterization of chart is that it is a diffeomorphism.

- $l_a^{-1} = l_{a^{-1}}$  note  $l_a$  is diff. from  $G$  to  $G$  as discussed before. Thus

$$\chi \circ l_a^{-1} : aU \rightarrow \chi(U)$$

is also a diffeomorphism  $\therefore$  it is a chart, now is it admissible? If  $\chi \circ l_a^{-1}$  &  $\chi \circ l_b^{-1}$  are charts in  $\alpha$  then  $(\chi \circ l_a^{-1}) \circ (\chi \circ l_b^{-1})^{-1}$  is a diff.

$$\begin{aligned} (\chi \circ l_a^{-1}) \circ (\chi \circ l_b^{-1})^{-1} &= \chi \circ l_a^{-1} \circ l_b \circ \chi^{-1} = \cancel{\cancel{\chi}} \\ &= \chi \circ l_{a^{-1}b} \circ \chi^{-1} \end{aligned}$$

Should worry about domains. When is it defined?  
when  $aU \cap bU \neq \emptyset$  both charts defined on set, well  
more precisely  $aU \cap bU$  has  $\chi \circ l_a^{-1}$  &  $\chi \circ l_b^{-1}$  well defined.

Now suppose  $z \in aU \cap bU \Rightarrow z = au_1 = bu_2$

thus  $b^{-1}a = u_2u_1^{-1}$  don't know this in  $U$  to begin.  
so we should shrink  $U$

wandering proof continued,  $z = au_1 = bu_2$

$$\begin{aligned} (x \circ l_a^{-1}) \circ (x \circ l_b)^{-1} &= x \circ l_a^{-1} \circ l_b \circ x^{-1} \\ &= x \circ l_{a^{-1}b} \circ x^{-1} \end{aligned}$$

we'll need  $a^{-1}b U \subseteq U$  to make sense.  
~~if~~

remedy for proof.

Let  $V$  contain  $e$  such that  $V^2 = VV \subseteq V$   
 we can do this since

$$\begin{array}{ccc} m: G \times G & \longrightarrow & G \\ (e, e) & & \cap \\ \uparrow \quad \uparrow & & V \end{array}$$

$V, V_2$  both open around  $e$  take  $V_1 \cap V_2$

one step further,

$$e \in W \quad W^{-1}W \subseteq V$$

$x^{-1}y$  continuous map

probably should modify Thm & work with  $W$ -type  
 charts about the ~~not~~ identity.

$$\begin{aligned} (x_w \circ l_a^{-1}) \circ (x_w \circ l_b^{-1}) &= x_w \circ l_{a^{-1}} \circ l_b \circ x_w^{-1} \\ &= x_w \circ l_{a^{-1}b} \circ x_w^{-1} \end{aligned}$$

Then  $(x_w \circ l_a^{-1}) \circ (x_w \circ l_b^{-1})^{-1}(x(W)) = x_w \circ l_{a^{-1}b}(W)$

$$\begin{aligned} &= x_w(a^{-1}b W) \\ &= x_w(w_1 w_2^{-1} W) \end{aligned}$$

$$\begin{aligned} aw_1 &= bw_2 \\ a^{-1}b &= w_1 w_2^{-1} \end{aligned}$$

Long story short: need to shrink  $U$  a little  
 to insure compatibility.

Remark: if we already have a Lie Group then we have an atlas  $\mathcal{A}$  as in  $\text{Th}^m$ . Alternatively if we have a group and we want to make it a Lie group we can proceed to construct it by finding chart at  $e$  then left translate to build atlas.

$V$ : finite dim'l vector space

$g$ : metric

bilinear

symmetric

nondegenerate  $g(v, w) = 0 \quad \forall w \Rightarrow v = 0$

If  $\{e_i\}$  is a basis for  $V$  then

$g(e_i, e_j) = G_{ij}$  is an invertible matrix, this is an alternative def<sup>t</sup> of non deg.

Since  $g$  is symmetric there exists a basis such that  $G_{ij} = \pm \delta_{ij}$ . Then using  $\vec{x}$  for components of  $x$ ,

$$g(x, y) = \vec{x}^t G \vec{y}$$

If  $\alpha$  is an isometry of  $(V, g)$  then its matrix  $A$  satisfies

$$\begin{aligned} (A\vec{x})^t G (A\vec{y}) &= g(\alpha(x), \alpha(y)) \\ &= g(x, y) \\ &= \vec{x}^t G \vec{y} \quad \forall x, y \end{aligned}$$

Thus  $\boxed{A^t G A = G} \iff g(\alpha(x), \alpha(y)) = g(x, y)$ .

- Could do for complex #'s to, but need to work with complex metric.

Th If  $J \in gl(n)$  is such that  $J^2 = I$  &  $J^t = J$  then

$$G_J = \{ A \in gl(n) \mid A^t J A = J \}$$

is a Lie group. Also

$$G_J^{\mathbb{C}} = \{ A \in gl(n, \mathbb{C}) \mid A^t J A = J \}$$

is also a real Lie group. Here  $A^t = \text{conj}(\text{transpose}(A))$

remark: book also does  $J^2 = -I$  (symplectic)

$$\underline{\text{Proof}}: A \in G_J \Rightarrow \det(A^t) \det(J) \det(A) = \det(J)$$

$$\Rightarrow (\det(A))^2 = 1 \quad \text{since } \det(J)^2 = 1$$

$$\Rightarrow \det(A) = \pm 1$$

thus  $G_J \subset Gl(n)$  and we can show it's a group. The manifold structure can be defined several ways, level surface arguments or matrix exp. ideas.

Lemma: If  $S$  is a submanifold of a manifold  $M$  and  $f: M \rightarrow N$  is smooth map into manifold  $N$  then  $f|_S$  is smooth.

Proof: better to prove  $i: S \hookrightarrow M$  is smooth. Then  $f|_S \equiv f \circ i$  since  $\Rightarrow f|_S$  smooth if  $i$  smooth.

recall def<sup>n</sup> of submanifold is, let  $(U, x)$  be chart of  $M$

$$x(U \cap S) = x(U) \cap \mathbb{R}^k \times \{0\}$$

$$x(U) \subseteq \mathbb{R}^m = \mathbb{R}^k \times \mathbb{R}^l$$

Then  $S$  is a submanifold if it can be covered by submanifold charts.

$$x_s: U \cap S \rightarrow x(U \cap S) \subseteq \mathbb{R}^k \quad (\text{identifying } \mathbb{R}^k \times \{0\} \cong \mathbb{R}^k)$$

need to show  $x \circ i \circ x_s^{-1}: x(U \cap S) \subseteq \mathbb{R}^k \rightarrow x(U) \subseteq \mathbb{R}^m$  smooth  $\mathbb{R}^k \times \{0\} \cong \mathbb{R}^k$

8/28/06.1

Last time correct but some comments  
to clarify perhaps

$$G_J = \{A \in \text{gl}(n) \mid A^T J A = J\}$$

$$G_J^{\mathbb{C}} = \{A \in \text{gl}(n, \mathbb{C}) \mid A^T J A = J\}$$

Then  $G_J$  &  $G_J^{\mathbb{C}}$  are real Lie Groups.

### Submanifold Map

$S \subseteq M$  then  $(U, x)$  has submanifold property

if  $U \cap S \neq \emptyset$  &  $x(U \cap S) = x(U) \cap (\mathbb{R}^k \times \{0\})$

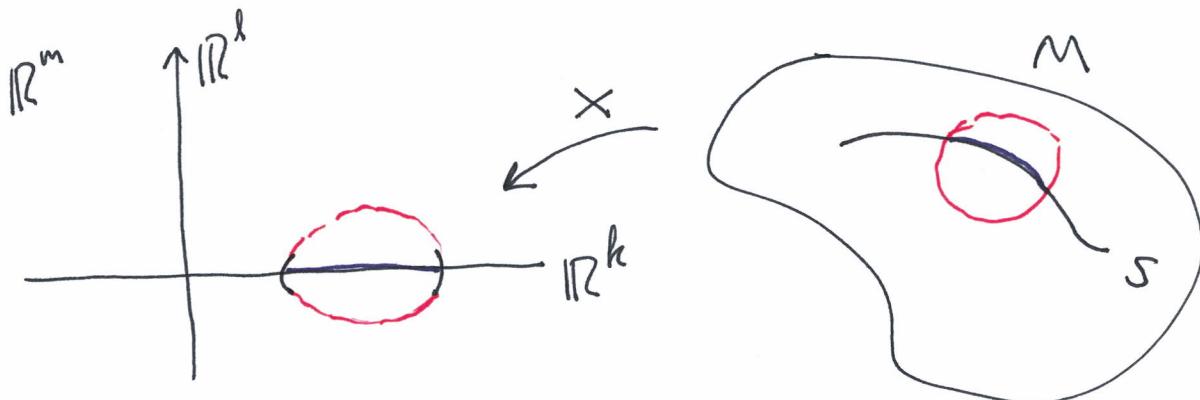
and  $x(U) \stackrel{\text{open}}{\subseteq} \mathbb{R}^m = \mathbb{R}^k \times \mathbb{R}^l$

$$x_s : U \cap S \rightarrow \mathbb{R}^k$$

$$x_s(w) = j(x(w))$$

$$j : \mathbb{R}^k \times \mathbb{R}^l \rightarrow \mathbb{R}^k$$

$$j|_{\mathbb{R}^k \times \{0\}} : \mathbb{R}^k \times \{0\} \rightarrow \mathbb{R}^k$$



it can be shown that  $S$  paired with  
the family of submanifold charts is a manifold.

Let us defined an immersed submanifold.

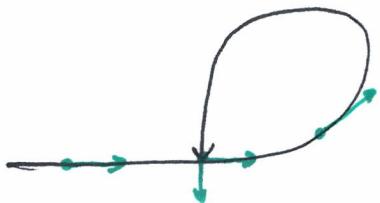
8/28/06.2

$S$  a manifold and  $i: S \rightarrow M$  has

(1.)  $i$  injective

(2.)  $d_u i: T_u S \rightarrow T_{i(u)} M$  injective. (?)

Then  $i(S)$  is an immersed submanifold of  $M$ .



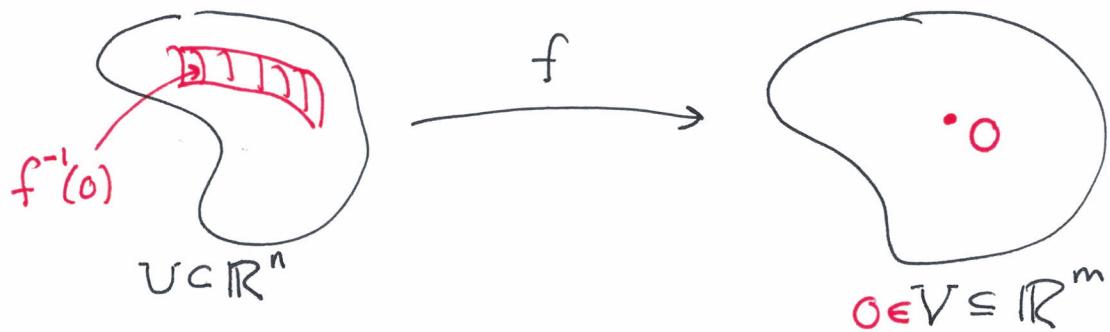
- this is an immersed submanifold BUT its not a ~~manifold~~ submanifold in the sense of finding family of submanifold maps.

The Lemma last time could be stated

Lemma: Every ~~non~~ submanifold is an immersed submanifold. (But not the converse.)

Proof of Th<sup>m</sup>

We show that  $G_J$  is a submanifold of  $gl(n)$ . Recall that if  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is smooth such that the Frechet derivative  $D_p f$  has rank  $m \forall p \in f^{-1}(0)$  and if  $n > m$  then  $f^{-1}(0)$  is a submanifold of  $\mathbb{R}^n$  of dimension  $n-m$



$$\dim(\text{Ker } (D_p f)) = n-m.$$

Observe that  $gl(n) \cong \mathbb{R}^{n^2}$  and consider  $f: gl(n) \rightarrow gl(n)$

$$f(A) = A^t JA - J$$

$$\text{Then } G_J = f^{-1}(0) = \{ A \mid A^t JA - J = 0 \}.$$

Notice we need the codomain of  $f$  to have smaller dimension than domain, we can show that

$\text{Im}(f)$  lies on lower dim'l subset of  $gl(n)$ .

$$f(A)^t = (A^t JA - J)^t = A^t JA - J = f(A)$$

Thus  $f(A)$  is symmetric.  $f: gl(n) \rightarrow S(n)$  where  $S(n) = \{ B \in gl(n) \mid B^T = B \}$ ,  $\dim(S(n)) = \frac{1}{2}n(n+1)$

$$f^{-1}(0) = G_J \quad \text{and} \quad 0 \in S(n) \quad (\text{redefine codomain})$$

need to calculate the rank of the differential.

Continuing to work on rank ( $D_A f$ )

$$\begin{aligned}
 f(A+H) &= (A+H)^t J(A+H) - J \\
 &= A^t J A + H^t J A + A^t J H + H^t J H - J \\
 &= f(A) + \underline{H^t J A + A^t J H} + H^t J H \\
 &= f(A) + \underbrace{(D_A f)(H)}_{\text{defining } (D_A f)(H)} + H^t J H
 \end{aligned}$$

↑ defining  $(D_A f)(H)$ . we should show this is linear and satisfies correct limit is correct.

- we know it's smooth, we're just interested in the rank here. (once we prove that  $D_A f$  is what it is)

$$\begin{aligned}
 0 &\leq \frac{\|f(A+H) - f(A) - D_A f(H)\|}{\|H\|} \leq \frac{\|H^t J H\|}{\|H\|} \\
 &\leq \frac{\|H^t\| \|J\| \|H\|}{\|H\|} \quad g(\mathbb{N}) \text{ is Banach algebra.} \\
 &\leq \|H^t\| \|J\|.
 \end{aligned}$$

Now as  $\|H\| \rightarrow 0$  it follows that  $\|H^t\| \rightarrow 0$  hence the desired limit is obtained and we see that  $(D_A f)(H)$  is indeed the derivative as claimed.

Continuing to work on rank ( $D_A f$ )

8/28/06.5

$$(D_A f)(H) = A^t J H + A^* J H^t$$

Thus  $(D_A f)(H)^t = (D_A f)(H)$  the differential is symmetric. Hence we observe for  $A \in f^{-1}(0)$ .

$$\begin{aligned} (D_A f)(H) = 0 &\iff B = (A^t J H)^t = -(A^t J H) \\ &\iff H = (A^t J)^{-1} B \text{ for } B^T = -B. \\ &\iff \text{Ker } (D_A f) \cong \{ B \in gl(n) \mid B^T = -B \} \end{aligned}$$

The mapping  $L$

$$X \xrightarrow{L} (A^t J)^{-1} X$$

is a linear map and in fact a vector space isomorphism (with inverse  $Y \mapsto A^t J Y$ ). Note that

$$L(\text{skew sym}) = \text{Ker } (D_A f)$$

then this says that  $A\mathcal{S}(n) = \{ B \mid B^T = -B \} \subset gl(n)$

$$\begin{aligned} \dim(\text{Ker } D_A f) &= \dim(A\mathcal{S}(n)) \\ &= \frac{1}{2}n(n-1). \end{aligned}$$

Now  $gl(n) = \mathcal{S}(n) \oplus A\mathcal{S}(n)$  since  $A = \frac{1}{2}(A+A^t) + \frac{1}{2}(A-A^t)$ .

so  $\dim(A\mathcal{S}(n)) = \dim(gl(n)) - \dim(\mathcal{S}(n)) = n^2 - \frac{1}{2}n(n+1) = \frac{1}{2}n(n-1)$ .

finally we know  $\text{rk}(D_A f) + \nu(D_A f) = n^2 = \dim(\text{dom}(D_A f))$

$$\begin{aligned} \text{rk}(D_A f) &= n^2 - \nu(D_A f) \\ &= n^2 - \frac{1}{2}n(n-1) \\ &= \frac{1}{2}n(n+1). \end{aligned}$$

$\therefore f^{-1}(0)$  is a submanifold

Thus  $f: gl(n) \rightarrow \mathcal{S}(n)$  then  $\text{rk}(D_A f)$  has  $\text{rank}(D_A f) = \dim(\mathcal{S}(n))$ .

8/28/06.6

We know submanifolds are  
immersed submanifolds thus as we have  
proven that  $f^{-1}(0)$  is a submanifold

$$i: G_J \hookrightarrow \mathcal{G}l(n) \hookrightarrow \mathfrak{gl}(n)$$

$$\begin{aligned} m: \mathcal{G}l(n) \times \mathcal{G}l(n) &\longrightarrow \mathcal{G}l(n) \\ \text{inv}: \mathcal{G}l(n) &\longrightarrow \mathcal{G}(n) \end{aligned} \quad \left. \begin{array}{l} \text{know are} \\ \text{smooth.} \end{array} \right\}$$

$$m \circ (i \times i): G_J \times G_J \xrightarrow{i \times i} \mathcal{G}l(n) \times \mathcal{G}l(n) \xrightarrow{m} \mathcal{G}l(n)$$

The lemma tells us  $i \times i$  is smooth.

$$\text{Im } (m \circ (i \times i)) \subseteq G_J = \mathcal{G}_J$$

Then finally the operations

$$i \circ m \circ (i \times i)$$

$$i \circ \text{inv} \circ i$$

are smooth on  $G_J$ .

Comments on the Homework

8/30/06.1

$$\varphi(z) = \begin{bmatrix} \operatorname{Re} z & -\operatorname{Im} z \\ \operatorname{Im} z & \operatorname{Re} z \end{bmatrix}$$

$$\|\varphi(z)\|^2 = 2|z|^2$$

$$\|\psi(A)\|^2 = 2\|A\|^2 \Rightarrow \psi \text{ continuous map.}$$

$$\varphi(\bar{z}) = \varphi(z)^t$$

But it is not surjective  $\Psi: \operatorname{gl}(n, \mathbb{C}) \rightarrow \operatorname{gl}(2n, \mathbb{R})$   
and  $\dim(\operatorname{gl}(n, \mathbb{C})) = 2n^2$  whereas  $\dim(\operatorname{gl}(2n, \mathbb{R})) = 4n^2$ .

- Continuous map of connected = connected.

$\Rightarrow \psi(U(n)) \subset O(n)$  must actually be  $SO(2n)$   
since the image is connected.

- Later  $\operatorname{Sl}(n, \mathbb{C}) \cong U(n) \times \mathbb{R}^{n^2}$

$$\operatorname{Sl}^+(\mathbb{R}) = \{A \mid \det A > 0\} = \det^{-1}(\mathbb{R}^+)$$

$$\operatorname{Sl}^-(\mathbb{R}) = \{A \mid \det A < 0\} = \det^{-1}(\mathbb{R}^-)$$

PROBLEM ONE

Smooth near identity then can prove smooth  
elsewhere via  $\lambda_a$  &  $r_a$

$$f(A) = A^t J A - J$$

$$f^{-1}(0) = G_J$$

Similarly  $G_J^{\mathbb{C}}$  stems from  $f(A) = A^t JA - A$

$$\text{and } \mathcal{S}(n) \rightarrow \mathcal{H}(n) = \{A \mid A^t = A\} \quad B^t = (\bar{B})^t$$

$$\mathcal{AS}(n) \rightarrow \mathcal{AH}(n) = \{B \mid B^t = -B\}$$

and just as before  $gl(n, \mathbb{C}) = \mathcal{H}(n) \oplus \mathcal{AH}(n)$ .

$$\dim(\mathcal{H}(n)) = \frac{2n^2 - 2n}{2} + n = \cancel{n^2} \quad \underline{\text{real dimension}}$$

$$\Rightarrow \dim_{\mathbb{R}}(G_J^{\mathbb{C}}) = n^2$$

Since  $f: gl(n, \mathbb{C}) \rightarrow \mathcal{H}(n)$

$$\begin{aligned} \dim(f^{-1}(0)) &= \dim(G_J^{\mathbb{C}}) \\ &= \dim(\text{dom}(f)) - \dim(\mathcal{H}(n)) \\ &= 2n^2 - n^2 \\ &= n^2 \quad \therefore \boxed{\dim(G_J^{\mathbb{C}}) = n^2} \end{aligned}$$

(get Morton Curtis' Lie groups back)

8/30/06.3

$A \in gl(n)$  the matrix exponential

$$\exp(A) = e^A = \sum_{k=0}^{\infty} \frac{1}{k!} A^k$$

$$A^0 = I$$

• Show its convergent sum

$$S_n = \sum_{k=0}^n \frac{1}{k!} A^k$$

$m > n$

$$\begin{aligned} \|S_m - S_n\| &= \left\| \sum_{k=n+1}^{m-1} \frac{1}{k!} A^k \right\| \\ &\leq \sum_{k=n+1}^{m-1} \frac{1}{k!} \|A\|^k \end{aligned}$$

Let  $S_n = \sum_{k=0}^n \frac{1}{k!} \|A\|^k = \cancel{\text{HAR}} < \infty$

$$\text{then } 0 \leq \|S_m - S_n\| \leq |S_m - S_n|$$

$$\text{now } |S_m - S_n| \rightarrow 0 \text{ since } \lim_{n \rightarrow \infty} S_n = e^{\|A\|}$$

$$\therefore \|S_m - S_n\| \rightarrow 0 \quad \therefore$$

$\{S_m\}_{m=1}^{\infty}$  is Cauchy seq. in  $gl(n)$

a Banach Space  $\therefore \{S_m\}_{m=1}^{\infty}$  converges

and we define  $e^A$  to be the limit  
of the sequence.

# Fréchet Derivative of Exponential

$$\mathcal{D}_A(\exp)(H) = H + \frac{1}{2}(AH + HA) + \frac{1}{6}(A^2H + AHA + HA^2) + \dots$$

Proof:

$$\begin{aligned} \exp(A+H) - \exp(A) &= \sum_{k=0}^{\infty} \frac{1}{k!} [(A+H)^k - A^k] \\ &= \sum_{k=1}^{\infty} \frac{1}{k!} [(A+H)^k - A^k] \\ &= A+H-A + \sum_{k=2}^{\infty} \frac{1}{k!} [(A+H)^k - A^k] \\ &= H + (A+H)^2 - A^2 + \sum_{k=3}^{\infty} \frac{1}{k!} [(A+H)^k - A^k] \\ &= H + AH + HA + (A+H)^3 - A^3 + \dots \end{aligned}$$

Let  $P(A^a, H^b)$  = all possible sums of terms with  $a$  copies of  $A$  &  $b$  copies of  $H$ .

$$P(A^1, H^1) = AH + HA$$

$$P(A^0, H^2) = H^2$$

Notice then

$$(A+H)^3 = A^3 + \underbrace{A^2H + AHA + HA^2}_{P(A^2, H)} + \underbrace{AH^2 + HAH + H^2A + H^3}_{P(A, H^2)}$$

$$\overline{\exp(A+H) - \exp(A)} = H + \sum_{k=2}^{\infty} \frac{1}{k!} \sum_{i=1}^{k-1} P(A^{k-i}, H^i)$$

Notes wrong.

Note wrong..

continuing

$$\exp(A+H) - \exp(A) = H + \sum_{k=2}^{\infty} \frac{1}{k!} \sum_{i=1}^k P(A^{k-i}, H^i)$$

If  $A \neq 0$  and  $\|H\| \leq \|A\|$

$$\|\exp(A+H) - \exp(A) - [H + \sum_{k=2}^{\infty} \frac{1}{k!} P(A^{k-1}, H)]\|$$

$$= \left\| \sum_{k=2}^{\infty} \frac{1}{k!} P(A^{k-1}, H) \right\|$$

$$= \left\| \sum_{k=2}^{\infty} \frac{1}{k!} \sum_{i=2}^k P(A^{k-i}, H^i) \right\|$$

$$\leq \sum_{k=2}^{\infty} \frac{1}{k!} \sum_{i=2}^k \binom{k}{i} \|A\|^{k-i} \|H\|^i$$

$$\leq \sum_{k=2}^{\infty} \frac{1}{k!} \sum_{i=2}^k \binom{k}{i} \|A\|^k \quad \text{used } \|H\| < \|A\|$$

to greedy

$$\leq \sum_{k=2}^{\infty} \frac{1}{k!} \sum_{i=2}^k \binom{k}{i} \|A\|^{k-2} \|H\|^2$$

$$\leq \sum_{k=2}^{\infty} \frac{2^k}{k!} \|A\|^{k-2} \|H\|^2$$

then divide by  $\|H\|$  to see that  
the diff. quotient goes to zero