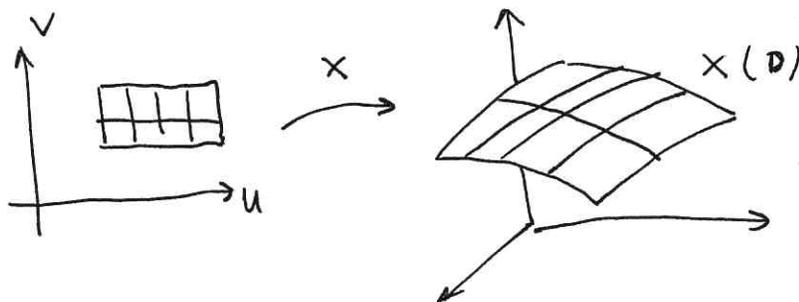


# CHAPTER 4: CALCULUS ON A SURFACE

(1)

Def<sup>n</sup>/ A coordinate patch  $x: D \rightarrow \mathbb{R}^3$  is a one-one regular mapping of an open set  $D \subseteq \mathbb{R}^2$  into  $\mathbb{R}^3$

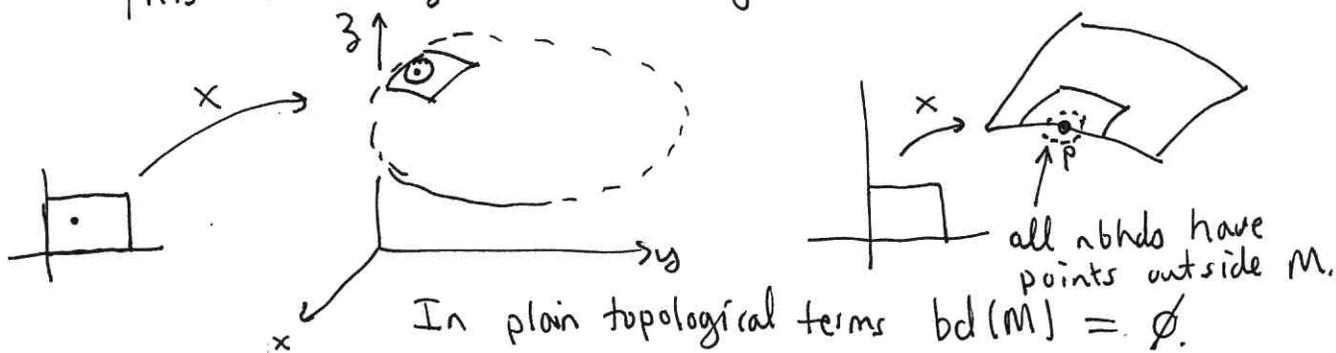
Furthermore, to avoid technicalities we should often like to use "proper patches" for which  $x^{-1}: x(D) \rightarrow D$  is continuous.



Remark: in the study of manifolds it is my custom to use  $x: U \subseteq M \rightarrow \mathbb{R}^n$  as notation for the coordinate chart. That is backwards of Oneil's choice. We're both right. If you primarily use patches then surely you don't want -1 on every patch and vice-versa, since manifold theory (grown-up abstract version) is typically chart-based, the chart notation should be as simple as possible. In my 332 notes (circa Fall 2013) I use  $\phi: U \subseteq \mathbb{R}^n \rightarrow M$  as the patch

Def<sup>n</sup>/ A surface in  $\mathbb{R}^3$  is a subset  $M$  of  $\mathbb{R}^3$  such that each point  $P \in M$  has a proper patch in  $M$  whose image contains a nbhd of  $P$  in  $M$ .

this basically forbids edges which are not fuzzy.



Example: upper hemisphere  $H(z_+)$   $\{(x, y, z) \mid z > 0, x^2 + y^2 + z^2 = 1\}$  (2)  
of unit-sphere

Let  $\Sigma(u, v) = (u, v, \sqrt{1-u^2-v^2})$  then

clearly  $\Sigma: D_2 \subseteq \mathbb{R}^2 \rightarrow \mathbb{R}^3$  maps into  $H$ ,  $D_2 = \{(u, v) \mid u^2 + v^2 < 1\}$ .

clearly  $\Sigma$  is 1-1 and the inverse map is simply

$$\Sigma^{-1}(x, y, z) = (x, y)$$

which is continuous. It remains to check

regularity. (see pg. 39,  $F: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is regular iff  $dF_p$  is 1-1 for all  $p \in \mathbb{R}^n$ . Likewise regularity on  $D_2$  just means the same but, for all  $p \in D_2$  naturally.)

The  $dF_p$  is injective iff  $\text{rank}(dF_p) = n$  for  $F: \mathbb{R}^n \rightarrow \mathbb{R}^m$   
thus in our  $n=2$  context this full-rank condition amounts to checking  $\exists$  at least 2 LI vectors amongst the columns of  $\Sigma' = \left[ \frac{\partial \Sigma}{\partial u} \mid \frac{\partial \Sigma}{\partial v} \right] \leftarrow$  Jacobian.

$$[d\Sigma_p] = \begin{bmatrix} x_u & x_v \\ y_u & y_v \\ z_u & z_v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ \frac{\partial f}{\partial u} & \frac{\partial f}{\partial v} \end{bmatrix} \quad \text{for } f = \sqrt{1-u^2-v^2}$$

It is clear  $\text{rank}(d\Sigma) = 2$  at all  $(u, v) \in D_2$ .

Moreover, it is clear  $d\Sigma_p$  exists  $\forall p \in D_2$ , be careful, his term "mapping" implies global differentiability of the function. (see pg. 34 under Def<sup>n</sup> 7.1)

We find  $H(z_+)$  is a surface. Moreover, by the natural modifications of this example we can show  $H(z_-)$ ,  $H(x_{\pm})$ ,  $H(y_{\pm})$  are also surfaces. The union of these forms  $S_2 \subseteq \mathbb{R}^3$  the unit-sphere.

Advice on checking if  $\Sigma: D \rightarrow \mathbb{R}^3$  is a proper patch

- 1.) verify  $\Sigma$  is injective
- 2.) verify  $\Sigma^{-1}$  is continuous
- 3.) verify  $\Sigma$  is differentiable, well, smooth in my book. this means  $d\Sigma_p$  exists for each  $p \in D$ .
- 4.) check regularity by showing  $d\Sigma_p$  has rank two for each  $p$ . How to do this? Well linear algebra offers us many options:

- ▶ LI of two columns  $\rightarrow \dim(\text{Row}(\Sigma')) = \dim(\text{Col}(\Sigma'))$ .
- ▶ LI of any two rows
- ▶ at least one  $\det(M_p) \neq 0$  where  $M_p = \begin{bmatrix} x_u & x_v \\ y_u & y_v \end{bmatrix}, \begin{bmatrix} x_u & x_v \\ z_u & z_v \end{bmatrix}, \begin{bmatrix} y_u & y_v \\ z_u & z_v \end{bmatrix}$  possible  $2 \times 2$  natural submatrices of the Jacobian.

Example: A graph of  $f: U \rightarrow \mathbb{R}$  is a surface with patch  $\Sigma(u,v) = (u, v, f(u,v))$ . This  $\Sigma$  is clearly smooth if  $f$  is smooth and  $\Sigma^{-1}(u,v) = (u,v)$  is continuous and  $\Sigma$  is injective. Thus  $Z = f(x,y)$  is naturally made a surface by the Monge patch provided  $\text{dom}(f)$  is open in  $\mathbb{R}^2$ .

Remark: a surface like the graph above which is covered by a single patch is called simple. In contrast, the sphere  $S^2$  is not a simple surface. (I'm not so sure proving the assertion  $S^2$  is not simple is so simple though ...)

Th<sup>n</sup> / (1.4) Let  $g$  be differentiable,  $\mathbb{R}$ -valued function of  $\mathbb{R}^3$  and  $c \in \mathbb{R}$  then  $g^{-1}\{c\} = \{(x,y,z) \mid g(x,y,z) = c\}$  is a surface ~~iff~~ provided  $\underbrace{dg|_M \neq 0}_{\nexists p \in M \text{ for which } dg_p = 0}$ .

Proof: If  $p \mapsto dg_p$  is continuous then  $dg_p(e_j) \neq 0$  at  $p_0 \Rightarrow \exists U$  open in  $\mathbb{R}^3$  for which  $dg_p(e_j) \neq 0 \forall p \in U$ .

Hence, apply the implicit function theorem to solve for  $X_j$  as functions of ~~the~~ the remaining  $X_i$  ( $i \in \mathbb{N}_3 - \{j\}$ ).

For example,  $dg_p(e_3) = \frac{\partial g}{\partial z}(p) \neq 0 \Rightarrow \exists h = U \subseteq \mathbb{R}^2 \rightarrow \mathbb{R}$

and  $g(x,y,h(x,y)) = c \forall (x,y) \in U$ . Thus  $\Sigma_1(x,y) = (x,y,h(x,y))$  gives regular patch near  $p_0 \in M$ .

Note, the implicit fact  $Th^2 \Rightarrow$  smoothness of  $h$ . Similar arguments give  $\Sigma_2(x,z) = (x,h_2(x,z),z)$ ,  $\Sigma_3(y,z) = (h_3(y,z),y,z)$  Monge-like patches for  $M$ . It follows that  $M$  is a surface. //

Remark: it is possible that  $dg|_M \equiv 0$  and yet  $M = g^{-1}\{c\}$  is a surface... is it ??? (can we have  $dg_p = 0$  for all  $p \in M$  and yet  $M = g^{-1}\{c\}$  is a surface? I think not, notice

JUST DO  $M = g^{-1}\{c\}$  with  $dg|_M \equiv 0 \rightarrow M$  surface

Suppose  $M$  is a surface with  $M = g^{-1}\{c\}$  for some smooth function  $g: \mathbb{R}^3 \rightarrow \mathbb{R}$  and  $c \in \mathbb{R}$ . Then for each  $p \in M$ ,  $\exists \Sigma: D \subseteq \mathbb{R}^2 \rightarrow M \subseteq \mathbb{R}^3$  which is regular. Note,

$$g(\Sigma(u,v)) = c \quad \forall (u,v) \in D$$

$$\Rightarrow dg \circ d\Sigma = 0 \Rightarrow [g_x, g_y, g_z] \begin{bmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} \end{bmatrix} = 0$$

Example:  $M_R: \frac{x^2+y^2+z^2}{g} = \frac{R^2}{c}$

(5)

Note  $dg = 2x dx + 2y dy + 2z dz \neq 0$  on  $M_R$  provided  $R > 0$ .

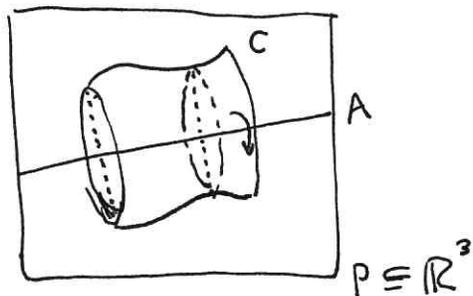
Example:  $M: g = c$ ,  $g(x) = \sum_{i=1}^3 (x_i - c_i)^2$  for constants  $c_1, c_2, c_3$ . Then  $dg = \sum_{i=1}^3 2(x_i - c_i) dx_i$

and  $dg \neq 0$  unless  $x_i = c_i$  which only happens if  $c = 0$ .

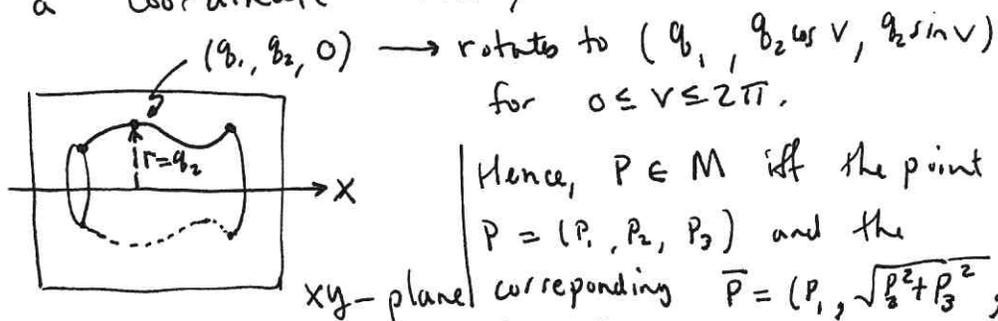
Thus  $M$  is surface for  $c \neq 0$ . This is sphere of radius  $\sqrt{c}$  centered at  $(c_1, c_2, c_3)$ . Note  $M = \emptyset$  for  $c < 0$ .

(O'Neill calls this  $\sum_1$  on pg. 135)

Example: Surfaces of Revolution



Convenience of exposition,  $P, P$  a coordinate plane and  $A$  a coordinate axis,  $C$  in  $y > 0$  half-plane



Hence,  $P \in M$  iff the point  $P = (P_1, P_2, P_3)$  and the corresponding  $\bar{P} = (P_1, \sqrt{P_2^2 + P_3^2}, 0)$  is in  $C$

Then  $c$ , if  $C: f(x, y) = c$  then we can define  $g: \mathbb{R}^3 \rightarrow \mathbb{R}$  via  $g(x, y, z) = f(x, \sqrt{y^2 + z^2}) = c$  yields  $M$ . Note,

Remark: I did not (yet) find  $dg \neq 0$  easy to show.

Continuing to check  $dg \neq 0$  for  $g = f(x, \sqrt{y^2+z^2})$

(6)

$$\begin{aligned} dg &= \frac{\partial g}{\partial x} dx + \frac{\partial g}{\partial y} dy + \frac{\partial g}{\partial z} dz \\ &= \frac{\partial}{\partial x} [f(x, \sqrt{y^2+z^2})] dx + \frac{\partial}{\partial y} [-] dy + \frac{\partial}{\partial z} [-] dz \\ &= \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} \frac{\partial}{\partial y} \sqrt{y^2+z^2} + \frac{\partial f}{\partial z} \frac{\partial}{\partial z} \sqrt{y^2+z^2} \\ &= \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} \left[ \frac{y dy}{\sqrt{y^2+z^2}} + \frac{z dz}{\sqrt{y^2+z^2}} \right] \end{aligned}$$

Ship this page until later

(Now,  $f = c$  for  $g(x, y, 0) = f(x, y)$  with  $y > 0$ )  
 $\Rightarrow df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy = 0$

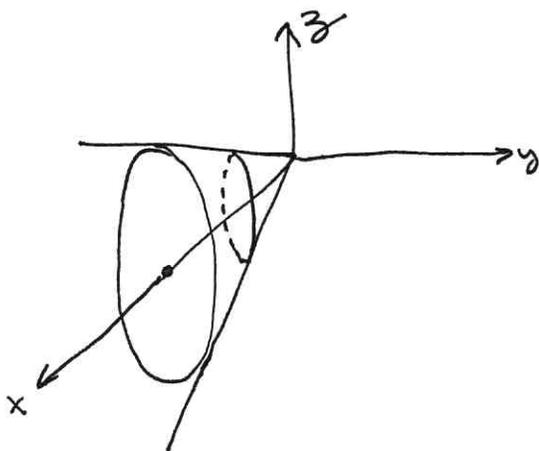
If  $\frac{\partial f}{\partial x}|_p \neq 0$  then  $dg_p \neq 0$ . Suppose  $\frac{\partial f}{\partial x}|_p = 0$

then ...

Example:  $y = x$  gives line,  $f(x, y) = y - x$

$$g(x, y, z) = f(x, \sqrt{y^2+z^2}) = \sqrt{y^2+z^2} - x = 0$$

$$x = \sqrt{y^2+z^2}$$



$$dg = \frac{y dy + z dz}{\sqrt{y^2+z^2}} - dx$$

clearly  $\frac{\partial g}{\partial x} = -1 \neq 0$  hence surface ... except at  $y=z=0$  the singularity of the cone.

If  $f(x, y) = c$  gives curve then at each point along  $c$  we may solve for either  $x$  or  $y$  hence wlog  $f(x, y) = y - g(x)$  or  $f(x, y) = x - h(y)$  hence  $dg \neq 0$  as  $dy$  or  $dx$  have coefficients of 1.

# IMPROPER PATCH EXAMPLE

(7)

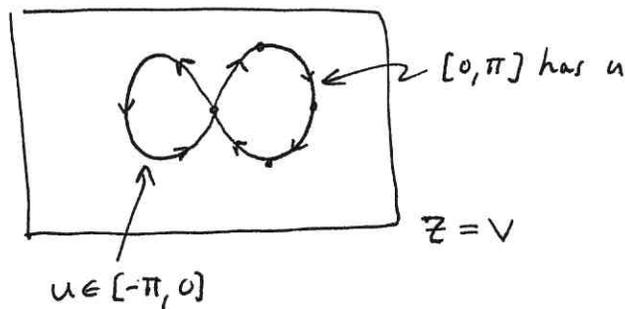
$$\Sigma(u, v) = (\sin u, \sin 2u, v)$$

is injective for suitably restricted domain of  $u$ .

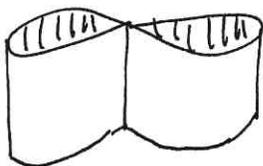
$$-\pi < u < \pi$$

we can visualize this

	x	y	z
$(0, \frac{1}{2}, v)$	0	0	v
$(\frac{\pi}{4}, \frac{1}{2}, v)$	1	0	v
$(\frac{\pi}{4}, \frac{1}{2}, v)$	$\frac{1}{\sqrt{2}}$	1	v
$(\frac{3\pi}{4}, \frac{1}{2}, v)$	$+\frac{1}{\sqrt{2}}$	-1	v
$(\pi, \frac{1}{2}, v)$	0	0	v



One for each  $z$ ,



Since  $\Sigma$  is 1-1 it follows  $\Sigma^{-1} : \Sigma(\text{dom}(\Sigma)) \rightarrow \text{dom}(\Sigma)$  exists. If  $\Sigma(u, v) = (a, b) \iff \Sigma^{-1}(a, b) = \Sigma(u, v) = \underline{(*, **, v)}$  require

The  $*$ ,  $**$  functions will not be continuous.

## §4.2 PATCH CALCULATIONS

(8)

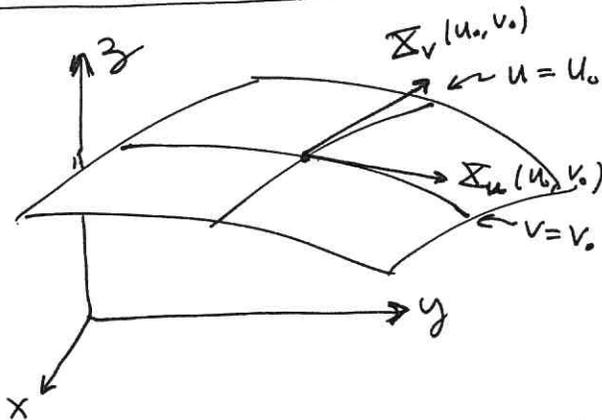
$u \longmapsto \Sigma(u, v_0)$  gives  $u$ -parameter curve  
 $v \longmapsto \Sigma(u_0, v)$  gives  $v$ -parameter curve

Naturally  $\Sigma(D)$  is ~~cover~~ covered by families of  $u, v$ -parameter curves. These are images of horizontal & vertical lines in  $D$ .

Def<sup>n</sup>/ If  $\Sigma: D \rightarrow \mathbb{R}^3$  is a patch, for each  $(u_0, v_0) \in D$ :

(1.) the velocity vector at  $u_0$  of  $u$ -parameter curve,  $v = v_0$ , is denoted  $\Sigma_u(u_0, v_0)$

(2.) likewise for  $\Sigma_v(u_0, v_0) = \frac{d}{dv} [\Sigma(u_0, v)] \Big|_{v=v_0}$ .



Note, if  $\Sigma = (x, y, z)$  then

$$\Sigma_u = (x_u, y_u, z_u)_\Sigma \quad \text{or}$$

$$\Sigma_v = (x_v, y_v, z_v)_\Sigma \quad \text{or}$$

$$\frac{\partial \Sigma}{\partial u} = \frac{\partial x}{\partial u} \frac{\partial}{\partial x} + \frac{\partial y}{\partial u} \frac{\partial}{\partial y} + \frac{\partial z}{\partial u} \frac{\partial}{\partial z}$$

$$\frac{\partial \Sigma}{\partial v} = \frac{\partial x}{\partial v} \frac{\partial}{\partial x} + \frac{\partial y}{\partial v} \frac{\partial}{\partial y} + \frac{\partial z}{\partial v} \frac{\partial}{\partial z}$$

(if we ignore Oneil and revert to  $\mathcal{U}_i(p) = \frac{\partial}{\partial x^i} \Big|_p$  notation)

Remark: See 140-145 for more explicit examples; sphere, cones, torus etc...

Def<sup>n</sup>/ A regular mapping  $\Sigma: D \rightarrow \mathbb{R}^3$  whose image lies in a surface  $M$  is called a parametrization of the region  $\Sigma(D)$ .

(not 1-1 in general,

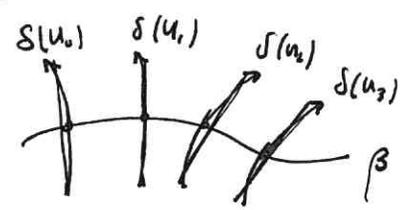
$\Sigma(\theta, z) = (\cos \theta, \sin \theta, z)$   
 parametrizes the cylinder  $x^2 + y^2 = 1$   
 for  $(\theta, z) \in [0, 2\pi] \times \mathbb{R}$ .

Remark: Regularity of  $\Sigma: D \rightarrow \mathbb{R}^3$  can be checked by the nontriviality of  $\frac{\partial \Sigma}{\partial u} \times \frac{\partial \Sigma}{\partial v}$  (you might recall this is normal vector field to surface from calculus III)

Remark: ruled surface is defined on pg. 145.

$$\Sigma(u, v) = \beta(u) + v \delta(u)$$

↑ BASE CURVE
↑ DIRECTOR CURVE



Hmm. PROBLEM 2 on pg. 145 is historical

If time/energy permits give a few examples here.

§4.3: DIFFERENTIAL FUNCTIONS AND TANGENT VECTORS

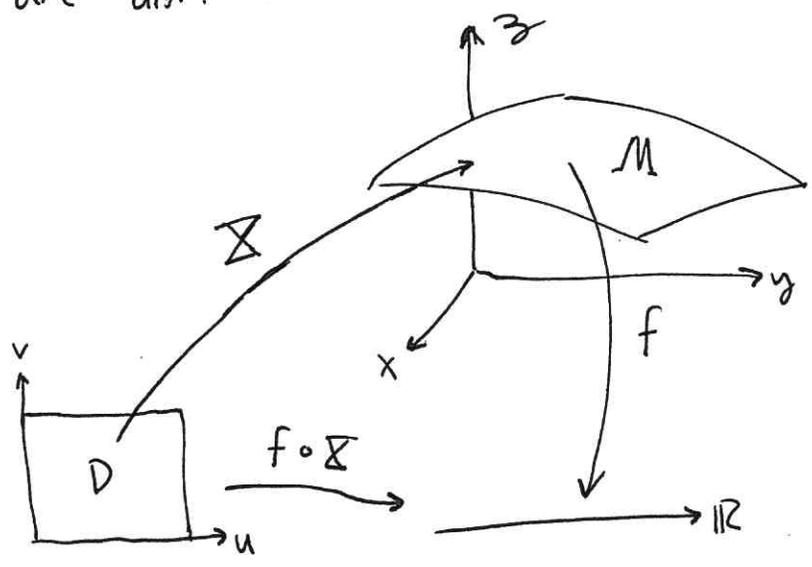
Let  $f: M \rightarrow \mathbb{R}$  be a function

If  $\Sigma: D \rightarrow M$  is a coordinate patch in  $M$  then

the composite function  $f \circ \Sigma$  is called a local coordinate expression for  $f$ ;  $(f \circ \Sigma)(u, v) \in \mathbb{R}$  and we could

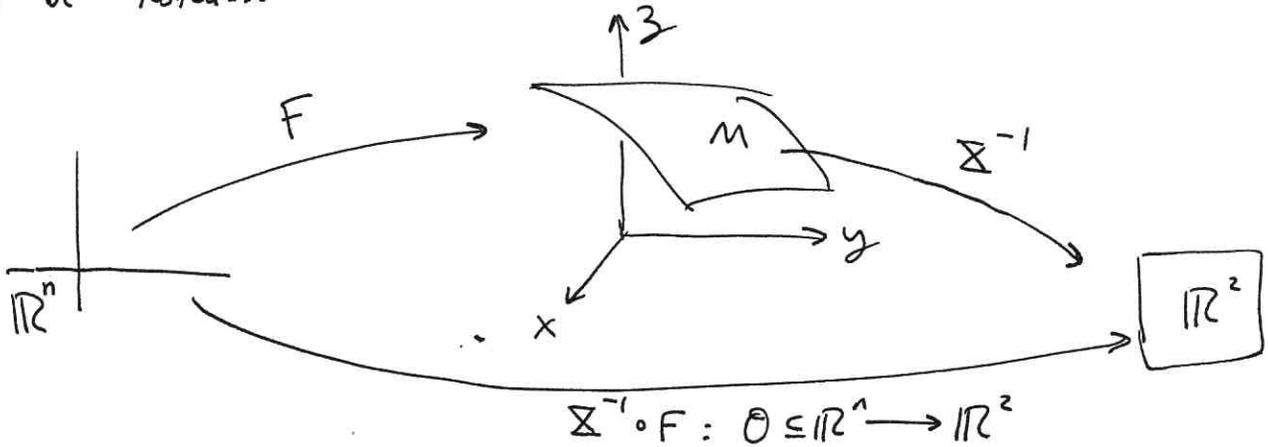
denote this  $(u, v) \mapsto f(\Sigma(u, v))$ . We say  $f$

is differentiable provided all of its coordinate expressions are diff. in the usual Euclidean (advanced calculus) sense



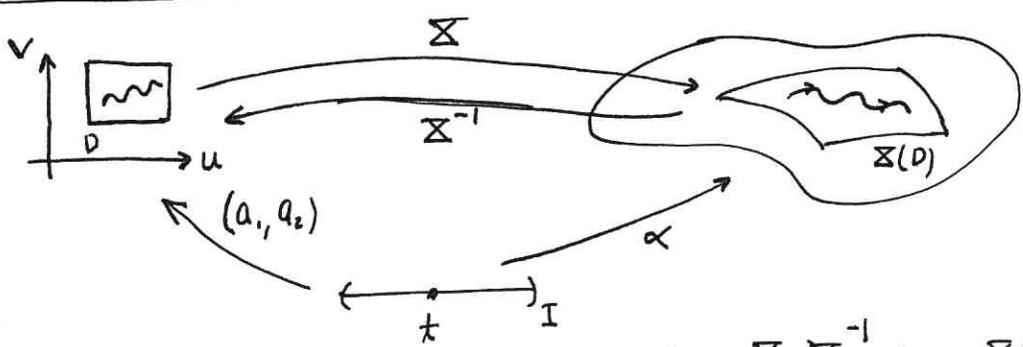
Moving to general maps  $F: \mathbb{R}^n \rightarrow M$ . we say  $F$  differentiable iff each coord. expression  $\mathbb{X}^{-1} \circ F$  is diff. on  $O$  where  $O$  is the point-set for which  $\mathbb{X}^{-1} \circ F$  is well-defined ( $F(p) \in \mathbb{X}(D)$  so  $\mathbb{X}^{-1}(F(p))$  is in  $D$ ). We require  $O$  be open in  $\mathbb{R}^n$  so diff. of  $\mathbb{X}^{-1} \circ F: O \rightarrow \mathbb{R}^2$  can be tested...

(10)



Special, important case, CURVE  $\alpha: I \rightarrow M$  is a diff. function from an open-interval  $I$  to  $M$ .

Lemma (3.1) If  $\alpha$  is curve  $\alpha: I \rightarrow M$  whose image  $\alpha(I) \subset \mathbb{X}(D)$  for a single coord. patch  $\mathbb{X}$  then  $\exists!$  diff functions  $a_1, a_2: I \rightarrow \mathbb{R}$  such that  $\alpha(t) = \mathbb{X}(a_1(t), a_2(t))$ . That is;  $\alpha = \mathbb{X}(a_1, a_2)$  in function notation.

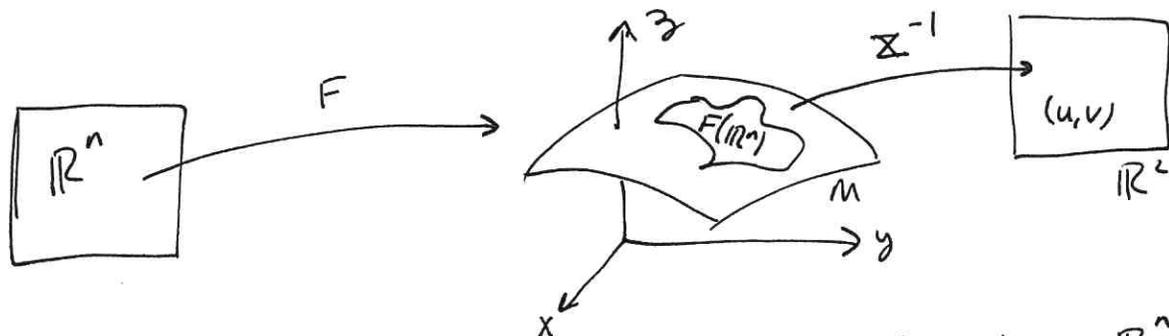


Since  $\alpha(I) \subset \mathbb{X}(D)$  we may write  $\alpha = \mathbb{X} \circ \mathbb{X}^{-1} \circ \alpha = \mathbb{X}(a_1, a_2)$  that is, define  $\mathbb{X}^{-1} \circ \alpha = (a_1, a_2) \Leftrightarrow \mathbb{X}(a_1, a_2) = \alpha$ . If  $\alpha = \mathbb{X}(b_1, b_2)$  then injectivity of  $\mathbb{X}$  immediately yields  $(a_1, a_2) = (b_1, b_2)$ .

Th<sup>m</sup> (3.2) Let  $M$  be a surface in  $\mathbb{R}^3$ . If  $F: \mathbb{R}^n \rightarrow \mathbb{R}^3$  is a differentiable mapping whose image lies in  $M$ , then considered as a function  $F: \mathbb{R}^n \rightarrow M$  (into  $M$ ),  $F$  is diff. function to  $M$ .

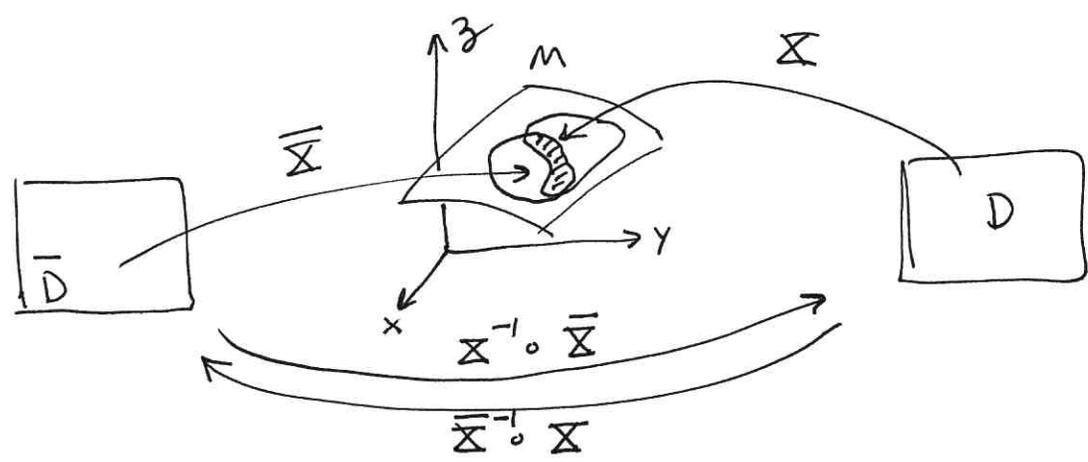
(11)

Proof:



$F: \mathbb{R}^n \rightarrow \mathbb{R}^3$  differentiable  $\Rightarrow dF_p$  exists at each  $p \in \mathbb{R}^n$ .  
 $F(\mathbb{R}^n) \subset M$ . We need to show  $\alpha^{-1} \circ F: \mathbb{R}^n \rightarrow \mathbb{R}^2$  is differentiable. Also, if  $\alpha(D)$  does not cover  $F(\mathbb{R}^n)$  then we'll need to use diff coord. patch as we examine different points... these are the technical details we'd need to sort through...//

Comment: A curve in  $\mathbb{R}^3$  which has  $\alpha(I) \subset M$  is a curve in  $M$ .



Th<sup>m</sup> (3.2)  $\Rightarrow$  smooth overlap of patches.

Cor (3.4) If  $\Sigma, \Psi$  are overlapping patches on  $M$  then  $\exists!$  diff fncts  $\bar{u}, \bar{v}$  such that  ~~$\Sigma$~~   
 $\Psi(u, v) = \Sigma(\bar{u}(u, v), \bar{v}(u, v)).$   
 $\forall (u, v) \in \text{dom}(\Sigma^{-1} \circ \Psi).$

Thus, it suffices to check diff at  $P \in M$  for just one coord. patch which covers  $P \in M$ .

Def<sup>n</sup> Let  $P$  be a point on surface  $M$  in  $\mathbb{R}^3$ .  
 A tangent vector  $v$  to  $\mathbb{R}^3$  at  $P$  is tangent to  $M$  at  $P$  provided  $\exists \alpha: \mathbb{R} \rightarrow M$  for which  $\alpha'(0) = v$ . All these form  $T_P M$ .

Lemma (3.6): Consider  $P \in M$  for which  $P \in \Sigma(D)$  and  $\Sigma(u_0, v_0) = P$ . Then  $v_p \in T_P M$  iff  $v_p \in \text{span}\{\Sigma_u(P), \Sigma_v(P)\}$ .

Proof: in lecture. or pg. 153. //

Def<sup>n</sup> (3.7) A Euclidean vector field  $\vec{Z}$  on  $M$  in  $\mathbb{R}^3$  is a function which assigns for each  $P \in M$  a vector  $\vec{Z}(P) \in T_P \mathbb{R}^3$ . If  $\vec{Z}(P) \in T_P M \forall P \in M$  then  $\vec{Z}$  is tangent to  $M$ .  $\vec{Z}(P) \in (T_P M)^\perp \forall P \in M$  is said to be normal to  $M$ .

(13)

Lemma (3.6) Let  $p \in M$  a surface in  $\mathbb{R}^3$  and  $\Sigma$  a patch in  $M$  s.t.  $\Sigma(u_0, v_0) = p$ . Then  $V_p \in T_p M$  iff  $V_p$  is a linear combination of  $\Sigma_u(u_0, v_0)$ ,  $\Sigma_v(u_0, v_0)$

Proof: Observe  $\Sigma_u, \Sigma_v \in T_p M$  so  $\Sigma_u = \alpha'(u_0)$  and  $\Sigma_v = \beta'(v_0)$  where  $\alpha(u) = \Sigma(u, v_0)$  and  $\beta = \Sigma(u_0, v)$ .

We defined  $T_p M$  via tangents to curves. Let  $V_p \in T_p M$  then by def<sup>n</sup>  $\exists \alpha: I \rightarrow M$  with  $\alpha'(0) = V_p$ .

Moreover, by Lemma 3.1,  $\alpha = \Sigma(a_1, a_2)$

$$\begin{aligned} \alpha' &= \frac{d}{dt} [\Sigma(a_1, a_2)] \\ &= \frac{\partial \Sigma}{\partial u}(a_1, a_2) \frac{da_1}{dt} + \frac{\partial \Sigma}{\partial v}(a_1, a_2) \frac{da_2}{dt} \end{aligned}$$

$$\Rightarrow \alpha'(0) = a_1'(0) \Sigma_u(u_0, v_0) + a_2'(0) \Sigma_v(u_0, v_0)$$

Thus  $V_p \in \text{span} \{ \Sigma_u, \Sigma_v \}$  at  $(u_0, v_0)$ . Conversely

if  $\exists c_1, c_2$  s.t.  $V = c_1 \Sigma_u(u_0, v_0) + c_2 \Sigma_v(u_0, v_0)$

observe that  $\alpha(t) = \Sigma(u_0 + tc_1, v_0 + tc_2)$  has  $\alpha'(0) = V$

hence  $\text{span} \{ \Sigma_u(u_0, v_0), \Sigma_v(u_0, v_0) \} \subseteq T_p M$  &  $T_p M \subseteq \text{span} \{ \}$

We can conclude  $\text{span} \{ \Sigma_u(u_0, v_0), \Sigma_v(u_0, v_0) \} = T_p M$ .

Remark: the differential notation for tangent vectors gives a nice notational guide to  $T_p M$ ,  $\Sigma = (x, y, z)$  then

$$\frac{\partial}{\partial u} = \frac{\partial x}{\partial u} \frac{\partial}{\partial x} + \frac{\partial y}{\partial u} \frac{\partial}{\partial y} + \frac{\partial z}{\partial u} \frac{\partial}{\partial z}$$

$$\frac{\partial}{\partial v} = \frac{\partial x}{\partial v} \frac{\partial}{\partial x} + \frac{\partial y}{\partial v} \frac{\partial}{\partial y} + \frac{\partial z}{\partial v} \frac{\partial}{\partial z}$$

Lemma (3.8) If  $M: g = c$  is a surface in  $\mathbb{R}^3$ , then the gradient vector field  $\nabla g = \sum \frac{\partial g}{\partial x_i} U_i$  restricted to  $M$  is a nonvanishing normal vector field on  $M$ .

Proof: If  $\alpha: I \rightarrow M$  is curve on  $M$  and we consider  $g(\alpha(t)) = c \quad \forall t \in I$  then diff. and use chain rule to find  $\nabla g \cdot \alpha' = 0$  or more precisely  $\nabla g(\alpha(t)) \cdot \alpha'(t) = 0$

Hence, for  $p = \alpha(0)$ ,  $\nabla g(p) \cdot v_p = 0$  for  $v_p \in T_p M$ .

But,  $\alpha$  is arbitrary  $\Rightarrow \nabla g(p) \cdot v_p = 0 \quad \forall v_p \in T_p M$  hence  $\nabla g(p) \in T_p M^\perp$ .

Remark: I mentioned  $\nexists$  a nonvanishing vector field on the sphere  $\Sigma^1$ , but, we see now the normal vector field to  $\Sigma^1$  is non vanishing,

$$g = x^2 + y^2 + z^2 \Rightarrow \nabla g = \langle 2x, 2y, 2z \rangle \neq 0$$

not tangent to the sphere so no  $\rightarrow \leftarrow$  to my claim.

Def<sup>n</sup> (3.10) Let  $v$  be a tangent vector to  $M$  at  $p$  and let  $f$  be diff.  $\mathbb{R}$ -valued fun. on  $M$ . Then  $v[f]$  is the derivative of  $f$  w.r.t.  $v$  is given by the common value  $\frac{d}{dt} [f \circ \alpha] \Big|_{t=0}$  for all curves  $\alpha$  in  $M$  with  $\alpha'(0) = v$ .

Let's see  $v = c_1 \mathcal{X}_u + c_2 \mathcal{X}_v$  then  $v[f] = c_1 \frac{\partial f}{\partial u} + c_2 \frac{\partial f}{\partial v}$  I hope.

Curious, not much discussion here... perhaps he returns to it later...

## Two ways to describe curve on $M$ :

▷ IMPLICITLY:  $g(\alpha(t)) = c \rightarrow \nabla g(\alpha(t)) \cdot \alpha'(t) = 0$

▷ EXPLICITLY:  $\alpha = \sum (a_1, a_2) \rightarrow \alpha' = \sum_u a'_u + \sum_v a'_v$

### §4.4: DIFFERENTIAL FORMS ON SURFACE

0-form on  $M$  is function from  $M$  to  $\mathbb{R}$ .

1-form on  $M$  is  $\phi_p: T_p M \rightarrow \mathbb{R}$ ,  $p \mapsto \phi_p$  for each  $p \in M$ .

2-form on  $M$  is  $\eta: T_p M \times T_p M \rightarrow \mathbb{R}$  with  $\eta$  bilinear and  $\eta(v, w) = -\eta(w, v)$ .

Notice if  $V, W \in \mathcal{X}(M)$  then  $\phi(V)$ ,  $\eta(V, W)$  are functions on  $M$ .

For future convenience, let's denote  $\Lambda^p M$  as  $p$ -forms on  $M$  and  $\Omega(M) = \Lambda^0(M) \oplus \Lambda^1(M) \oplus \Lambda^2(M) \leftarrow$  exterior algebra on  $M$  which forms a  $C^\infty(M)$ -module. Wedge products and determinants are nearly interchangeable. For example:

Lemma (4.2) Let  $\eta$  be a 2-form on  $M$  and let  $v_p, w_p \in T_p M$  then  $\eta_p(av_p + bw_p, cv_p + dw_p) = \det \begin{bmatrix} a & b \\ c & d \end{bmatrix} \eta_p(v_p, w_p)$

Proof: drop the  $p$ -dependence

$$\begin{aligned} \eta(av + bw, cv + dw) &= a \eta(v, cv + dw) + b \eta(w, cv + dw) \\ &= ac \eta(v, v) + ad \eta(v, w) + bc \eta(w, v) + bd \eta(w, w) \\ &= (ad - bc) \eta(v, w). \end{aligned}$$

For fun, in  $n=2$  case the  $\sum$  loses.

$$\begin{aligned} \eta\left(\sum x^i e_i, \sum y^j e_j\right) &= \sum_i \sum_j x^i y^j \eta(e_i, e_j) \\ &= \sum_i \sum_j \epsilon_{ij} x^i y^j \eta(e_1, e_2) \\ &= \det \begin{pmatrix} x_1 & y_1 \\ x_2 & y_2 \end{pmatrix} \eta(e_1, e_2). \end{aligned}$$

## Wedge Product

for 0-forms we simply multiply. However, for one-forms,

Def<sup>n</sup>/ If  $\phi, \psi \in \Lambda^1(M)$  then  $\phi \wedge \psi \in \Lambda^2(M)$  defined by

$$(\phi \wedge \psi)(v, w) = \phi(v)\psi(w) - \psi(v)\phi(w)$$

For all  $v, w$  tangent to  $M$ .

Note it is clear  $\phi \wedge \psi$  is bilinear and skew-symmetric given the def<sup>n</sup> above hence  $\phi \wedge \psi \in \Lambda^2(M)$  is reasonable.

$$\int \wedge \eta = (-1)^{pq} \eta \wedge \int$$

So  $p=0, 2$  forms commute with  $p=0, 2, 2$  forms and only  $p=1, q=1$  gives  $\alpha \wedge \beta = -\beta \wedge \alpha$ .

### Exterior Derivative:

0-form,  $f: M \rightarrow \mathbb{R} \xrightarrow{d} df \in \Lambda^1(M)$  where  
 $df_p(v_p) = v_p[f]$  as before,  
we require no new special def<sup>n</sup>  
(of course,  $v_p \in T_p M$  so this  
is not exactly the same as the  
 $\mathbb{R}^2, \mathbb{R}^3$  - exterior calculus of Chap 1)

1-form,  $\phi \in \Lambda^1(M) \xrightarrow{d} d\phi \in \Lambda^2(M)$ .

Def<sup>n</sup>/  $d\phi(\xi_u, \xi_v) = \frac{\partial}{\partial u}(\phi(\xi_v)) - \frac{\partial}{\partial v}(\phi(\xi_u))$  then  
extend linearly. If  $\xi(D) = M$  then that is all, otherwise  
do this for each patch until  $d\phi$  is defined on  $M$

Clearly there is a gap to fill here, why does  
this definition work for points where  $\exists$  multiple  
patches?

Th<sup>m</sup> (4.6): If  $f: M \rightarrow \mathbb{R}$  is a function then  $d(df) = 0$ .

Proof:  $d(df)(\Sigma_u, \Sigma_v) = \frac{\partial}{\partial u} [df(\Sigma_v)] - \frac{\partial}{\partial v} [df(\Sigma_u)]$   
 $= \frac{\partial}{\partial u} \left[ \frac{\partial f}{\partial v} \right] - \frac{\partial}{\partial v} \left[ \frac{\partial f}{\partial u} \right]$   
 $= 0$ .

But, as  $\Sigma$  was an arbitrary patch on  $M \Rightarrow d(df) = 0$ .

Matching Lemmas:

(1.)  $\underbrace{\phi = \psi}_{1\text{-forms on } X(D)} \iff \left\{ \begin{array}{l} \phi(\Sigma_u) = \psi(\Sigma_u) \\ \phi(\Sigma_v) = \psi(\Sigma_v) \end{array} \right\}$

(2.)  $\underbrace{\mu = \nu}_{2\text{-forms on } X(D)} \iff \mu(\Sigma_u, \Sigma_v) = \nu(\Sigma_u, \Sigma_v)$

Differential Calculus on  $\mathbb{R}^2$  as surface

$f$  a fnc,  $\phi$  a 1-form,  $\eta$  a 2-form then

(1.)  $\phi = f_1 du_1 + f_2 du_2$ ,  $f_i = \phi(\nu_i)$ .

(2.)  $\eta = g du_1 \wedge du_2$ ,  $g = \eta(\nu_1, \nu_2)$ .

(3.) For  $\psi = g_1 du_1 + g_2 du_2$  and  $\phi$  as above,

$\phi \wedge \psi = (f_1 g_2 - f_2 g_1) du_1 \wedge du_2$

(4.)  $df = \frac{\partial f}{\partial u_1} du_1 + \frac{\partial f}{\partial u_2} du_2$

(5.)  $d\phi = \left( \frac{\partial f_2}{\partial u_1} - \frac{\partial f_1}{\partial u_2} \right) du_1 \wedge du_2$

Def<sup>n</sup> A diff form  $\phi$  is closed iff  $d\phi = 0$ .  
 A diff form  $\psi$  is exact iff  $\exists \mu$  s.t.  $d\mu = \psi$ .

Only one-forms are interesting for 2-dim'l case... does exact  $\Rightarrow$  closed? Yes,  $d^2=0$ . The converse leads to deep topological discussion...

(THERE ARE MISTAKES  $\curvearrowright$ )

Lemma (4.5)  $d\phi(\Sigma_u, \Sigma_v) = \frac{\partial}{\partial u}(\phi(\Sigma_v)) - \frac{\partial}{\partial v}(\phi(\Sigma_u))$   
 is coordinate independent. = CAUTION, MISTAKES BELOW...

Proof: Suppose  $\bar{\Sigma}(\bar{u}, \bar{v})$  is another patch then chain rule gives

$$\bar{\Sigma}_{\bar{u}} = \frac{\partial u}{\partial \bar{u}} \Sigma_u + \frac{\partial v}{\partial \bar{u}} \Sigma_v \quad (1.)$$

$$\bar{\Sigma}_{\bar{v}} = \frac{\partial u}{\partial \bar{v}} \Sigma_u + \frac{\partial v}{\partial \bar{v}} \Sigma_v \quad (2.)$$

But then as  $d\phi$  was clearly a two-form we have

$$\begin{aligned} d\phi(\bar{\Sigma}_{\bar{u}}, \bar{\Sigma}_{\bar{v}}) &= d\phi\left(\frac{\partial u}{\partial \bar{u}} \Sigma_u + \frac{\partial v}{\partial \bar{u}} \Sigma_v, \frac{\partial u}{\partial \bar{v}} \Sigma_u + \frac{\partial v}{\partial \bar{v}} \Sigma_v\right) \\ &= \det \begin{pmatrix} \frac{\partial u}{\partial \bar{u}} & \frac{\partial v}{\partial \bar{u}} \\ \frac{\partial u}{\partial \bar{v}} & \frac{\partial v}{\partial \bar{v}} \end{pmatrix} d\phi(\Sigma_u, \Sigma_v) \end{aligned}$$

Therefore, we need the  $J$  equation above to hold when we apply  $\det^k$  of  $d\phi$ . This means it suffices that

$$\frac{\partial}{\partial \bar{u}}[\phi(\bar{\Sigma}_{\bar{v}})] - \frac{\partial}{\partial \bar{v}}[\phi(\bar{\Sigma}_{\bar{u}})] = J \left( \frac{\partial}{\partial u}[\phi(\Sigma_v)] - \frac{\partial}{\partial v}[\phi(\Sigma_u)] \right) \quad **$$

If we can prove  $**$  then consistency of  $d\phi$  w.r.t patch-ambiguity is shown... using (1) & (2),

$$\phi(\bar{\Sigma}_{\bar{u}}) = \frac{\partial u}{\partial \bar{u}} \phi(\Sigma_u) + \frac{\partial v}{\partial \bar{u}} \phi(\Sigma_v) \quad (1.)$$

$$\phi(\bar{\Sigma}_{\bar{v}}) = \frac{\partial u}{\partial \bar{v}} \phi(\Sigma_u) + \frac{\partial v}{\partial \bar{v}} \phi(\Sigma_v) \quad (2.)$$

Then diff. with respect to  $\bar{u}$  &  $\bar{v}$ , use product rule

$$\begin{aligned} \left( \begin{aligned} \frac{\partial}{\partial \bar{u}}[\phi(\bar{\Sigma}_{\bar{v}})] &= \frac{\partial}{\partial \bar{u}} \left[ \frac{\partial u}{\partial \bar{v}} \phi(\Sigma_u) + \frac{\partial v}{\partial \bar{v}} \phi(\Sigma_v) \right] \\ &= \frac{\partial}{\partial \bar{u}} \left[ \frac{\partial u}{\partial \bar{v}} \right] \phi(\Sigma_u) + \frac{\partial u}{\partial \bar{v}} \frac{\partial}{\partial \bar{u}} [\phi(\Sigma_u)] + \frac{\partial}{\partial \bar{u}} \left[ \frac{\partial v}{\partial \bar{v}} \right] \phi(\Sigma_v) + \frac{\partial v}{\partial \bar{v}} \frac{\partial}{\partial \bar{u}} [\phi(\Sigma_v)] \end{aligned} \right) \\ - \left( \begin{aligned} \frac{\partial}{\partial \bar{v}}[\phi(\bar{\Sigma}_{\bar{u}})] &= \frac{\partial}{\partial \bar{v}} \left[ \frac{\partial u}{\partial \bar{u}} \phi(\Sigma_u) + \frac{\partial v}{\partial \bar{u}} \phi(\Sigma_v) \right] \\ &= \frac{\partial}{\partial \bar{v}} \left[ \frac{\partial u}{\partial \bar{u}} \right] \phi(\Sigma_u) + \frac{\partial u}{\partial \bar{u}} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)] + \frac{\partial}{\partial \bar{v}} \left[ \frac{\partial v}{\partial \bar{u}} \right] \phi(\Sigma_v) + \frac{\partial v}{\partial \bar{u}} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_v)] \end{aligned} \right) \end{aligned}$$

$$\frac{\partial}{\partial \bar{u}}[\phi(\bar{\Sigma}_{\bar{v}})] - \frac{\partial}{\partial \bar{v}}[\phi(\bar{\Sigma}_{\bar{u}})] = \left( \frac{\partial u}{\partial \bar{u}} \frac{\partial}{\partial \bar{u}} [\phi(\Sigma_u)] - \frac{\partial u}{\partial \bar{v}} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)] \right) + \left( \frac{\partial v}{\partial \bar{v}} \frac{\partial}{\partial \bar{u}} [\phi(\Sigma_v)] - \frac{\partial v}{\partial \bar{u}} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_v)] \right)$$

$$= \frac{\partial u}{\partial \bar{u}} \left( \frac{\partial}{\partial \bar{u}} [\phi(\Sigma_u)] - \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)] \right) + \frac{\partial v}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{u}} [\phi(\Sigma_v)] - \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_v)] \right)$$

Continuing, (on \*\*) see RHS.

$$\text{RHS} = \left( \frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} - \frac{\partial u}{\partial \bar{v}} \frac{\partial v}{\partial n} \right) \left( \frac{\partial}{\partial n} [\phi(\Sigma_v)] - \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)] \right)$$

$$= \frac{\frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} \frac{\partial}{\partial n} [\phi(\Sigma_v)] - \frac{\partial u}{\partial \bar{v}} \frac{\partial v}{\partial n} \frac{\partial}{\partial n} [\phi(\Sigma_v)]}{\text{I}} - \frac{\frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)] - \frac{\partial u}{\partial \bar{v}} \frac{\partial v}{\partial n} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)]}{\text{II}} - \frac{\frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} \frac{\partial}{\partial n} [\phi(\Sigma_v)] + \frac{\partial u}{\partial \bar{v}} \frac{\partial v}{\partial n} \frac{\partial}{\partial \bar{v}} [\phi(\Sigma_u)]}{\text{III}}$$

$$\text{LHS} = \frac{\frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{v}} - \frac{\partial}{\partial n} \right) [\phi(\Sigma_v)] + \frac{\partial u}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{v}} - \frac{\partial}{\partial n} \right) [\phi(\Sigma_u)]}{\text{I}}$$

$$= \frac{\frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{v}} - \frac{\partial}{\partial n} \right) [\phi(\Sigma_v)] + \frac{\partial u}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{v}} - \frac{\partial}{\partial n} \right) [\phi(\Sigma_u)]}{\text{II}} + \frac{\frac{\partial u}{\partial n} \frac{\partial v}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{v}} - \frac{\partial}{\partial n} \right) [\phi(\Sigma_v)] + \frac{\partial u}{\partial \bar{v}} \left( \frac{\partial}{\partial \bar{v}} - \frac{\partial}{\partial n} \right) [\phi(\Sigma_u)]}{\text{III}}$$

=

should match.

A notation I should probably use more, the "hook"

(17)

$$\phi(\Sigma) = \Sigma \lrcorner \phi$$

Then we could define, (if we used  $v, w$  as derivations)

$$d\phi(v, w) = v(w \lrcorner \phi) - w(v \lrcorner \phi).$$

### Coordinate-dependence of $d\phi$

Let's prove there isn't any! Suppose  $\Sigma, \Upsilon$  are patches and  $\Upsilon(u, v) = \Sigma(\bar{u}(u, v), \bar{v}(u, v))$  then note

$$\frac{\partial e}{\partial \bar{u}} = \frac{\partial \bar{u}}{\partial u} \frac{\partial e}{\partial \bar{u}} + \frac{\partial \bar{v}}{\partial u} \frac{\partial e}{\partial \bar{v}}$$

$$\frac{\partial e}{\partial \bar{v}} = \frac{\partial \bar{u}}{\partial v} \frac{\partial e}{\partial \bar{u}} + \frac{\partial \bar{v}}{\partial v} \frac{\partial e}{\partial \bar{v}}$$

$$d\phi(\bar{v}_u, \bar{u}_v) = \frac{e}{\partial \bar{u}} \left( \frac{e}{\partial \bar{v}} \right) - \frac{e}{\partial \bar{v}} \left( \frac{e}{\partial \bar{u}} \right)$$

$$= \frac{e}{\partial \bar{u}} \left( \frac{e}{\partial \bar{u}} \frac{\partial \bar{u}}{\partial u} + \frac{e}{\partial \bar{v}} \frac{\partial \bar{v}}{\partial u} \right) - \frac{e}{\partial \bar{v}} \left( \frac{e}{\partial \bar{u}} \frac{\partial \bar{u}}{\partial v} + \frac{e}{\partial \bar{v}} \frac{\partial \bar{v}}{\partial v} \right)$$

$$w = w[u] \frac{\partial}{\partial u} + w[v] \frac{\partial}{\partial v} = w[\bar{u}] \frac{\partial}{\partial \bar{u}} + w[\bar{v}] \frac{\partial}{\partial \bar{v}}$$

$$\frac{\partial}{\partial u} = \frac{\partial \bar{u}}{\partial u} \frac{\partial}{\partial \bar{u}} + \frac{\partial \bar{v}}{\partial u} \frac{\partial}{\partial \bar{v}}$$

$$d\phi\left(\frac{\partial}{\partial u}, \frac{\partial}{\partial v}\right) = \frac{\partial}{\partial u} \left( \frac{\partial}{\partial v} \right) - \frac{\partial}{\partial v} \left( \frac{\partial}{\partial u} \right)$$

$$d\phi\left(\frac{\partial}{\partial u}, \frac{\partial}{\partial v}\right) = d\phi\left(\frac{\partial \bar{u}}{\partial u} \frac{\partial}{\partial \bar{u}} + \frac{\partial \bar{v}}{\partial u} \frac{\partial}{\partial \bar{v}}, \frac{\partial \bar{u}}{\partial v} \frac{\partial}{\partial \bar{u}} + \frac{\partial \bar{v}}{\partial v} \frac{\partial}{\partial \bar{v}}\right) = \det \begin{bmatrix} \frac{\partial \bar{u}}{\partial u} & \frac{\partial \bar{v}}{\partial u} \\ \frac{\partial \bar{u}}{\partial v} & \frac{\partial \bar{v}}{\partial v} \end{bmatrix} d\phi\left(\frac{\partial}{\partial \bar{u}}, \frac{\partial}{\partial \bar{v}}\right)$$

$$= \left( \frac{\partial \bar{u}}{\partial u} \frac{\partial \bar{v}}{\partial v} - \frac{\partial \bar{v}}{\partial u} \frac{\partial \bar{u}}{\partial v} \right) d\phi\left(\frac{\partial}{\partial \bar{u}}, \frac{\partial}{\partial \bar{v}}\right)$$

$$d\phi(\partial_u, \partial_v) = \partial_u[\phi(\partial_v)] - \partial_v[\phi(\partial_u)]$$

$$d\phi(\bar{\partial}_{\bar{u}}, \bar{\partial}_{\bar{v}}) = \bar{\partial}_{\bar{u}}[\phi(\bar{\partial}_{\bar{v}})] - \bar{\partial}_{\bar{v}}[\phi(\bar{\partial}_{\bar{u}})]$$

---

$$d\phi(\partial_u, \partial_v) = \left( \frac{\partial \bar{u}}{\partial u} \frac{\partial \bar{v}}{\partial v} - \frac{\partial \bar{v}}{\partial v} \frac{\partial \bar{u}}{\partial u} \right) d\phi\left(\frac{\partial}{\partial \bar{u}}, \frac{\partial}{\partial \bar{v}}\right)$$

$$= \left( \frac{\partial \bar{u}}{\partial u} \frac{\partial \bar{v}}{\partial v} - \frac{\partial \bar{v}}{\partial v} \frac{\partial \bar{u}}{\partial u} \right) \left( \frac{\partial}{\partial \bar{u}}[\phi(\frac{\partial}{\partial \bar{v}})] - \frac{\partial}{\partial \bar{v}}[\phi(\frac{\partial}{\partial \bar{u}})] \right)$$

$$= \frac{\partial \bar{u}}{\partial u} \phi\left(\frac{\partial \bar{v}}{\partial v}, \frac{\partial}{\partial \bar{v}}\right)$$