

# CHAPTER 5: SHAPE OPERATORS:

(1)

Suppose  $Z \in \mathcal{X}(M)$  then  $\nabla_V Z$  is sensible provided  $V \in \mathcal{X}(M)$ . As usual  $\nabla_V Z$  is the rate of change of  $Z$  in the  $V$  direction. Two methods to calculate it:

## METHOD 1: (CURVES)

Let  $\alpha: I \rightarrow M$  with  $\alpha'(0) = V$ .

Let  $Z_\alpha$  be restriction of  $Z$  to  $\alpha$ ;  $t \mapsto Z(\alpha(t))$

then,  $\nabla_V Z = (Z_\alpha)'(0)$

## METHOD 2: (COORDINATES, FRAMES)

Express  $Z = \sum z_i U_i$  then  $\nabla_V Z = \sum_{i=1}^3 v[z_i] U_i$

Are these equivalent? Let's calculate,

$$(Z_\alpha)'(0) = \sum (z_i(\alpha))'(0) U_i = \sum_{i=1}^3 v[z_i] U_i.$$

Comment:  $(\nabla_V Z)_p \notin T_p M$  even when  $V(p) \in T_p M$ .

The change might point off of  $T_p M$ . However,

$\exists$  just two one way to go:  $\mathcal{U}(p)$ . We know

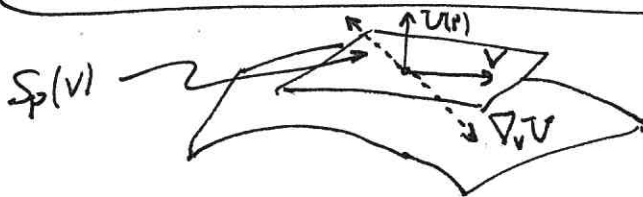
$$T_p \mathbb{R}^3 = T_p M \oplus (T_p M)^\perp \text{ and } T_p M^\perp = \text{span} \{ \mathcal{U}(p) \}$$

where  $\mathcal{U}(p)$  is normal to  $T_p M$ .

Def<sup>n</sup> / SHAPE OPERATOR: For  $p \in M$ ,  $V_p \in T_p M$  let

$$S_p(V) = -\nabla_V \mathcal{U}$$

where  $\mathcal{U}$  is the unit-normal vector field to  $M$  at  $p$ .



$S_p(V)$  measures how  $\mathcal{U}$  ~~turns~~ turns away from itself in  $V$ -direction

Lemma 1.2: For each  $p \in M \subset \mathbb{R}^3$ , the shape operator is a linear operator;  $S_p: T_p M \rightarrow T_p M$  on  $T_p M$  (2)

Proof:  $U \cdot U = 1$  on  $M$  thus,

$$0 = v_p [U \cdot U] = 2(\nabla_v U)|_p \cdot U|_p = -2 S_p(v) \cdot U(p)$$

thus  $v_p \cdot S_p(v) = 0 \quad \forall v \in T_p M \Rightarrow S_p(v) \in (\text{span } U(p))^\perp$

Which means that  $S_p(v) \in T_p M$ .

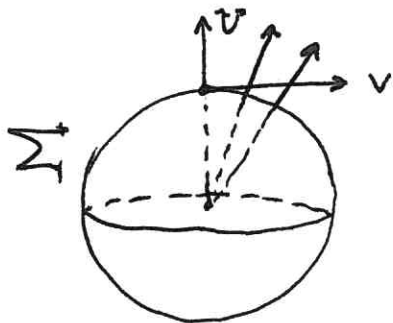
$$(v = v_1 \oplus v_2 \text{ then } v_2^\perp = v_1 \text{ and } v_1^\perp = v_2)$$

Examples:

(1)  $\Sigma$  of radius  $R$  has  $U(p) = \frac{1}{R} \sum_{i=1}^3 x_i U_i$

$$\text{thus } \nabla_v U = \frac{1}{R} \sum_{i=1}^3 v[x_i] U_i = \sum_{i=1}^3 \frac{1}{R} v_i U_i = \frac{v}{R}$$

$$\text{Hence } S_p(v) = -\frac{v}{R} \quad \forall p \in \Sigma = \underbrace{\Sigma_2(R)}_{x^2+y^2+z^2=R^2}$$



$$U + \Delta U = U_{\text{new}} = U + \frac{v}{R}$$

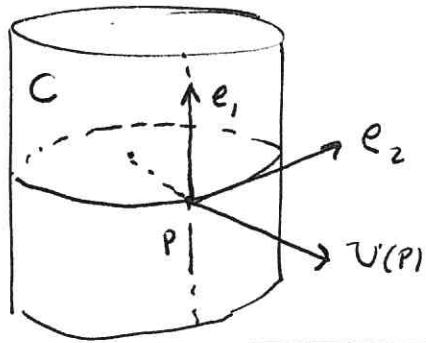
(2.)  $\mathcal{P}$  a plane in  $\mathbb{R}^3$  has fixed  $U(p) = U_0 \quad \forall p \in \mathcal{P}$

$$\therefore S_p(v) = -\nabla_v U = 0.$$

The tangent planes do not bend on  $\mathcal{P}$ .

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(3.)  $C: x^2 + y^2 = R^2, z$  free



$$\nabla_{e_1} U = 0$$

$$\nabla_{e_2} U = \frac{e_2}{R}$$

$$e_1 = (0, 0, 1)$$

$$e_2 = (\cos \theta, \sin \theta, 0) = \left( \frac{x}{\sqrt{x^2 + y^2}}, \frac{y}{\sqrt{x^2 + y^2}}, 0 \right)$$

$$e_1 \times e_2 = (-\sin \theta, \cos \theta, 0) = U$$

$$\nabla_{e_2} U = e_2 [-\sin \theta] U_1 + e_2 [\cos \theta] U_2$$

(4.)  $M: z = xy$  consider  $P = (0, 0, 0)$ .

$$\Sigma(u, v) = (u, v, uv)$$

$$\Sigma(x, y) = (x, y, xy)$$

$$\Sigma(s, t) = (s, t, st) \leftarrow \text{I'll go with this.}$$

$$\frac{\partial \Sigma}{\partial s} = (1, 0, t), \quad \frac{\partial \Sigma}{\partial t} = (0, 1, s), \quad \frac{\partial \Sigma}{\partial s} \times \frac{\partial \Sigma}{\partial t} = (-t, -s, 1)$$

(4) continued (why we should wait to calculate) (4)

$$V(s, t) = \frac{1}{\sqrt{1+s^2+t^2}} (-t, -s, 1) \quad \text{unit-normal for } Z=xy \text{ given Monge patch.}$$

$$S(V) = -\nabla_V V$$

$$\begin{aligned} \nabla_{\Sigma_S} V &= \sum_{i=1}^3 \Sigma_S [u_i] V_i \quad \approx \quad \text{just cleaning-up writing.} \\ &= \sum_{i=1}^3 \Sigma_S [u_i] V_i \quad \swarrow \quad V = u_1 V_1 + u_2 V_2 + u_3 V_3 \\ &= \sum_i \frac{\partial u_i}{\partial x} V_i + \sum_i t \frac{\partial u_i}{\partial z} V_i \end{aligned}$$

$$\nabla_{\Sigma_S} V = \Sigma_S \left( \frac{-t}{\sqrt{1+s^2+t^2}} \right) V_1 + \Sigma_S \left( \frac{-s}{\sqrt{1+s^2+t^2}} \right) V_2 + \Sigma_S \left( \frac{1}{\sqrt{1+s^2+t^2}} \right) V_3$$

$$\Sigma_S = (1, 0, y) \rightarrow \frac{\partial}{\partial x} + y \frac{\partial}{\partial z}$$

$$g = xy - z$$

$$\nabla g = \langle y, x, -1 \rangle$$

$$V = \frac{1}{\sqrt{1+x^2+y^2}} \langle y, x, -1 \rangle \rightarrow u_1 = \frac{y}{\sqrt{1+x^2+y^2}}$$

$$u_2 = \frac{x}{\sqrt{1+x^2+y^2}}$$

$$u_3 = \frac{-1}{\sqrt{x^2+y^2+1}}$$

$$\begin{aligned} \nabla_{\Sigma_S} V &= \Sigma_S [u_1] V_1 + \Sigma_S [u_2] V_2 + \Sigma_S [u_3] V_3 \\ &= \left( \frac{\partial}{\partial x} + y \frac{\partial}{\partial z} \right) \left( \frac{y}{\sqrt{1+x^2+y^2}} V_1 + \frac{x}{\sqrt{1+x^2+y^2}} V_2 - \frac{1}{\sqrt{x^2+y^2+1}} V_3 \right) \\ &= \frac{-xy V_1 - x^2 y V_2 + x V_3}{(\sqrt{1+x^2+y^2})^3} \end{aligned}$$

Now do similar for  $\Sigma_t$  to find  $\nabla_{\Sigma_t} V$ .

## § 5.2 NORMAL CURVATURE

(5)

Lemma 2.1: If  $\alpha$  is curve in  $M \subset \mathbb{R}^3$  then  $\alpha'' \cdot U = S(\alpha') \cdot \alpha'$

Proof: Clearly  $\underbrace{\alpha' \cdot U}_{\text{along } \alpha} = 0$  as  $\alpha' \in T_p M$  whereas  $U \in T_p M^\perp$ .

Differentiate,  
 $\alpha'' \cdot U + \alpha' \cdot U' = 0$

By Method 1,  $\nabla_{\alpha'(t)} U = U'_{\alpha'(t)} \Rightarrow S(\alpha') = -\nabla_{\alpha'} U = -U'$

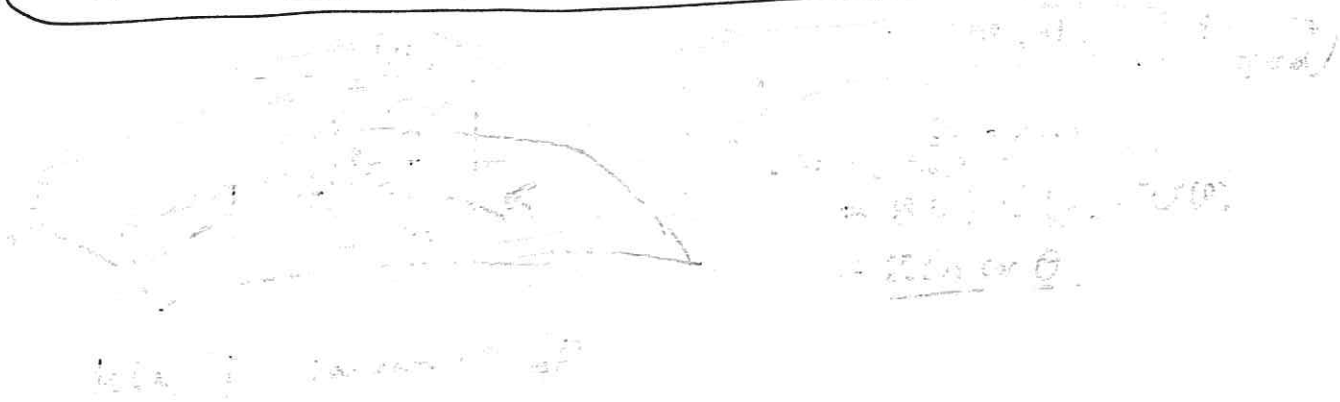
Therefore,  $\alpha'' \cdot U = S(\alpha') \cdot \alpha'$

Geometric Meaning:  $\alpha'' \cdot U =$  component of  $\alpha''$  normal to  $M$ .

this Lemma says the component depends only on the shape operator and  $\alpha'$ . So ... ALL CURVES WITH SAME  $\alpha'$  WILL HAVE  $\alpha'' \cdot U$  same at given point.

Rescaling  $\alpha'$  to unit-vector  $\Rightarrow \alpha'' \cdot U$  measures how  $M$  bends in  $U$ -direction.

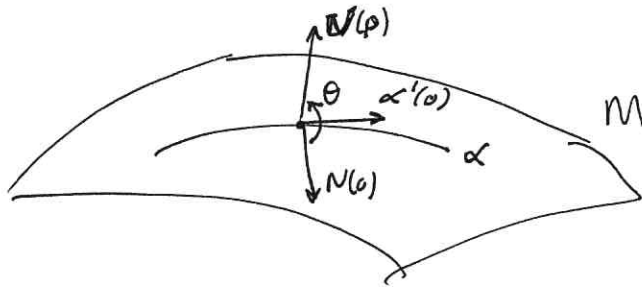
Def<sup>n</sup>/ Let  $u$  be unit tangent to  $M \subset \mathbb{R}^3$  at  $P$ ;  
 $u \in T_p M$  with  $\|u\| = 1$ . The value  
 $k(u) = S(u) \cdot u$   
 is the normal curvature of  $M$  in the  $u$ -direction (at  $P$ ).



Lemma 2.1:  $\alpha$  a curve on  $M \subset \mathbb{R}^3$  then  $\alpha'' \cdot \mathcal{U} = S(\alpha') \cdot \alpha'$

Proof: Naturally,  $\alpha' \cdot \mathcal{U} = 0$  as  $\alpha' \in T_p M$  and  $\mathcal{U} \in T_p M^\perp$ .  
 Hence,  $\alpha'' \cdot \mathcal{U} + \alpha' \cdot \mathcal{U}' = 0$ . But  $S(\alpha') = -\nabla_{\alpha'} \mathcal{U} = -\mathcal{U}'_{\alpha'}$ .  
 It follows  $\alpha'' \cdot \mathcal{U} = -\alpha' \cdot \mathcal{U}' = \alpha' \cdot S(\alpha')$  - (Here I denote  $\mathcal{U}'$  to give short hand for  $(\mathcal{U} \circ \alpha)'$  aka  $\mathcal{U}'_{\alpha'}$ ).

Def<sup>n</sup>: Let  $u \in T_p M$  with  $\|u\| = 1$ . The normal curvature of  $M$  in the  $u$ -direction at  $p$  is  $k(u) = S(u) \cdot u$

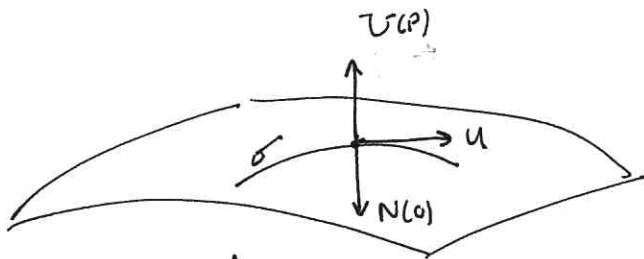


$$\begin{aligned} k(u) &= S(u) \cdot u \\ &= \alpha''(p) \cdot \mathcal{U}(p) \\ &= \kappa(p) N(p) \cdot \mathcal{U}(p) \\ &= \kappa(p) \cos \theta \end{aligned}$$

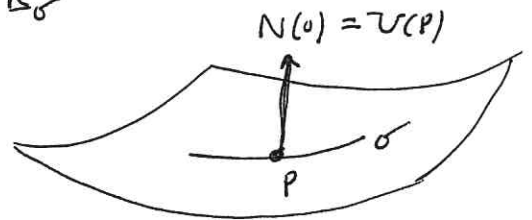
Given  $u \in T_p M$ ,  $\|u\| = 1$  can choose curve  $\sigma$  formed by intersection of plane span  $\{ \mathcal{U}(p), u \}$  and  $M$ : the "normal-section"  $\sigma$

point-set

$$k(u) = \kappa_\sigma(p) N(p) \cdot \mathcal{U}(p) = \pm \kappa_\sigma$$



$$k(u) < 0$$



$$k(u) > 0$$

(7)

Def<sup>n</sup> (2.3) Let  $p \in M \subset \mathbb{R}^3$ . The max/min values of  $k(u)$  of  $M$  at  $p$  are called the principal curvatures of  $M$  at  $p$  and are denoted by  $k_1, k_2$ . The directions in which these extreme values occur are called principal directions of  $M$  at  $p$ . Unit vectors in these directions are called principal vectors.

Def<sup>n</sup> (2.4) A point  $p$  of  $M$  is umbilic if  $k(u)$  is constant for all unit tangent vectors to  $M$  at  $p$ .

Example:  $\Sigma^1: x^2 + y^2 + z^2 = R^2 \iff k_1 = k_2 = -1/r$  all points umbilic to sphere. Also, a plane is everywhere umbilic.

Th<sup>m</sup> (2.5) (1.) If  $p$  is umbilic then the shape operator is just  $S(v) = kv$  where  $k_1 = k_2 = k$ .

(2.) If  $p$  is non-umbilic then  $k_1 \neq k_2$  (by def<sup>n</sup>) and  $\exists$  exactly two principal directions, and, there are orthogonal. Furthermore, if  $e_1, e_2$  are principal vectors, then

$$S(e_1) = k_1 e_1, \quad S(e_2) = k_2 e_2$$

Reinterpretation of Th<sup>m</sup>: principal curvatures are eigenvalues and the principal directions are eigenvectors of length one for  $S$ .

Proof: Suppose  $k_{\max} = k_1$  in  $e_1$  direction at  $p$ ,  $\|e_1\| = 1$ .

$$k_1 = k(e_1) = S(e_1) \cdot e_1$$

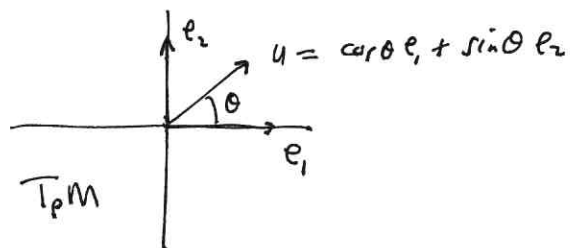
Let  $e_2$  be unit vector s.t.  $e_1 \cdot e_2 = 0$  and  $e_2 \in T_p M$ .

Observe  $u \in T_p M$  with  $\|u\| = 1$  can be written as

$$u = \cos \theta e_1 + \sin \theta e_2 \quad \text{for some } \theta$$

Thus  $k(u) = S(u) \cdot u = S(\cos \theta e_1 + \sin \theta e_2) \cdot (\cos \theta e_1 + \sin \theta e_2) = f(\theta)$

that is  $k(u) = f(\theta)$ , it is a function on  $\mathbb{R}$ , given our choices for  $e_1, e_2$ .



Proof continued:

Let  $S'_{ij} = S'(e_i) \cdot e_j = S'(e_j) \cdot e_i = S'_{ji}$  by symmetry Lemma 1.4  
(currently unsubstantiated)

Notice

$$\begin{aligned} h(\theta) &= S'(\cos\theta e_1 + \sin\theta e_2) \cdot (\cos\theta e_1 + \sin\theta e_2) \\ &= \cos^2\theta S'(e_1) \cdot e_1 + 2\cos\theta\sin\theta S'(e_1) \cdot e_2 + \sin^2\theta S'(e_2) \cdot e_2 \\ &= \cos^2\theta S'_{11} + 2\cos\theta\sin\theta S'_{12} + \sin^2\theta S'_{22} \quad (*) \end{aligned}$$

Find critical  $\theta$ ,

$$\hookrightarrow k(\theta) = \cos^2\theta h_1 + \sin^2\theta S'_{22}$$

$$\begin{aligned} \frac{dh}{d\theta} &= -2\cos\theta\sin\theta S'_{11} + 2(-\sin^2\theta + \cos^2\theta) S'_{12} + 2\sin\theta\cos\theta S'_{22} \\ &= 2\sin\theta\cos\theta(S'_{22} - S'_{11}) + 2(\cos^2\theta - \sin^2\theta) S'_{12} \end{aligned}$$

Observe,  $\theta = 0$  yields  $u(\theta) = e_1$  and we assumed  $e_1$  is principal vector in direction of max  $k = k_1$ .  
Fermat's Th<sup>m</sup>,

$$\frac{dh}{d\theta}(0) = 0 = 2 S'_{12} \Rightarrow \underline{S'_{12} = S'_{21} = 0}$$

Furthermore, returning to (\*) with this newfound insight,

$$h(\theta) = \cos^2\theta S'_{11} + \sin^2\theta S'_{22}$$

$$S'(e_1) = S'_{11} e_1, \quad S'(e_2) = S'_{22} e_2$$

matrix of  $S'$  w.r.t.  $\beta = \{e_1, e_2\}$  basis.

If  $p$  is umbilic then

$$S'(e_1) \cdot e_1 = S'(e_2) \cdot e_2 = k \Rightarrow [S']_p = \begin{bmatrix} k & 0 \\ 0 & k \end{bmatrix}$$

If  $p$  is not umbilic,

$$S'(e_1) \cdot e_1 = k_1$$

$$S'(e_2) \cdot e_2 = k_2$$

← See next page on why  $S'_{22} = k_2$ .

$$[S']_p = \left[ [S'(e_1)]_p \mid [S'(e_2)]_p \right]$$

$$= \left[ [k_1 e_1]_p \mid [k_2 e_2]_p \right]$$

$$= \left[ k_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} \mid k_2 \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right] = \begin{bmatrix} k_1 & 0 \\ 0 & k_2 \end{bmatrix} //$$



$$h(\theta) = c^2 h_1 + s^2 S'_{22} \quad \text{where } \begin{array}{l} c = \cos \theta \\ s = \sin \theta \end{array}$$

Observe  $S'_{22} < h_1$ , otherwise  $\Rightarrow h_1 = h_{\max}$ .  $h_1 = \max h$

Furthermore  $h(\theta) = h_1$  iff  $c = \pm 1$  and  $s = 0$

$\Rightarrow \theta = 0, \pi \Rightarrow e_1$ -direction. Oh, more to the point,  $h_{\min}$  is  $S'_{22}$  obtained for  $c=0, s=\pm 1 \Rightarrow e_2$ -direction.

But  $h_2$  is by definition the min.  $h \therefore S'_{22} = h_2$   
and it follows (as I detailed assuming  $S'(e_2) \cdot e_2 = h_2$ )

$$[S']_{\beta} = \begin{bmatrix} h_1 & 0 \\ 0 & h_2 \end{bmatrix}.$$

Remark: the quadratic approximation idea was discussed in Math 332 or 321 notes in some depth... o'neil's nice here, but be careful to understand "x, y" are being used very loosely.

$$K \quad v \times w = S(v) \times S(w)$$

$$2H \quad v \times w = S(v) \times w + v \times S(w)$$

We calculate,

$$K \quad \|v \times w\|^2 = (S(v) \times S(w)) \cdot (v \times w)$$

Lagrange's Identity, 
$$K = \frac{\det \begin{bmatrix} S(v) \cdot v & S(v) \cdot w \\ S(w) \cdot v & S(w) \cdot w \end{bmatrix}}{\det \begin{bmatrix} v \cdot v & v \cdot w \\ v \cdot w & w \cdot w \end{bmatrix}}$$

likewise,

$$2H \quad \|v \times w\|^2 = (S(v) \times w) \cdot (v \times w) + (v \times S(w)) \cdot (v \times w)$$

$$H = \frac{\det \begin{bmatrix} S(v) \cdot v & S(v) \cdot w \\ w \cdot v & w \cdot w \end{bmatrix} + \det \begin{bmatrix} v \cdot v & v \cdot w \\ S(w) \cdot v & S(w) \cdot w \end{bmatrix}}{2 \det \begin{bmatrix} v \cdot v & w \cdot v \\ w \cdot v & w \cdot w \end{bmatrix}}$$

Remark: these give us formulas for H & K once given any LI set of vectors & the shape op.'s value on said basis at P.

Cor 3.5 
$$k_1, k_2 = H \pm \sqrt{H^2 - K}$$

Proof:  $K = k_1 k_2$ ,  $H = \frac{k_1 + k_2}{2}$  and note

$$H^2 - K = \frac{(k_1 + k_2)^2}{4} - k_1 k_2 = \frac{k_1^2 - 2k_1 k_2 + k_2^2}{4} = \left(\frac{k_1 - k_2}{2}\right)^2 //$$

Def: A surface  $M \subset \mathbb{R}^3$  is flat provided  $K = 0$  and minimal provided  $H = 0$ .

## §5.3 GAUSSIAN CURVATURE

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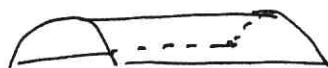
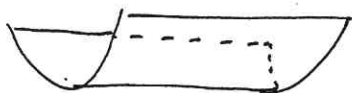
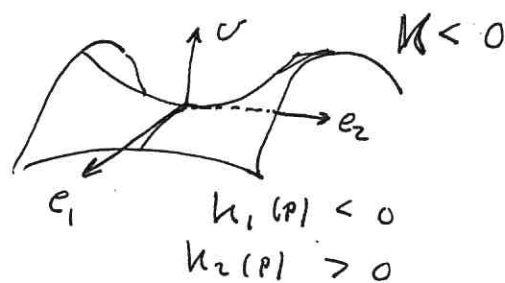
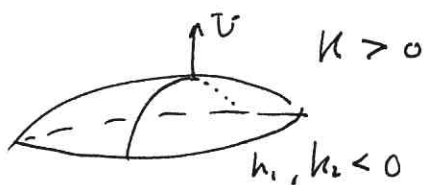
Def<sup>n</sup>/ GAUSSIAN CURVATURE of  $M$  at  $p$  is  $K = \det S'_p$

Def<sup>n</sup>/ MEAN CURVATURE of  $M$  at  $p$  is  $H = \frac{1}{2} \text{trace}(S'_p)$

Lemma 3.2  $K = k_1 k_2$ ,  $H = \frac{1}{2}(k_1 + k_2)$

Proof: Th<sup>m</sup> 2.5 shows  $k_1, k_2$  are e-values of  $S'_p$ .

Linear algebra  $\Rightarrow \det(S'_p) = k_1 k_2$  &  $\text{Trace}(S'_p) = k_1 + k_2$  //



$\leftarrow K = 0 \rightarrow$

Lemma 3.4: If  $\{v, w\}$  are LI at  $p \in M \subset \mathbb{R}^3$  then,

$$S(v) \times S(w) = K(p) v \times w$$

$$S(v) \times w + v \times S(w) = 2H(p) v \times w$$

Proof: Let  $\beta = \{v, w\}$  and let  $[S]_\beta = \begin{bmatrix} a & c \\ b & d \end{bmatrix} \Leftrightarrow \begin{cases} S(v) = av + bw \\ S(w) = cv + dw \end{cases}$

Calculate,

$$S(v) \times S(w) = (av + bw) \times (cv + dw) = (ad - bc) v \times w = K v \times w.$$

$$S(v) \times w + v \times S(w) = (av + bw) \times w + v \times (cv + dw)$$

$$= (a + d) v \times w$$

$$= \text{Trace}(S) v \times w$$

$$= 2H v \times w //$$

## § 5.4 COMPUTATIONAL TECHNIQUES:

(12)

- How to calculate  $K$  and  $H$  via patches? WARPING FUNCTIONS

$$E = \mathbf{x}_u \cdot \mathbf{x}_u, \quad F = \mathbf{x}_u \cdot \mathbf{x}_v, \quad G = \mathbf{x}_v \cdot \mathbf{x}_v$$

Coordinate angle  $\theta$

$$F = \mathbf{x}_u \cdot \mathbf{x}_v = \|\mathbf{x}_u\| \|\mathbf{x}_v\| \cos \theta = \sqrt{EG} \cos \theta.$$

$$\|\mathbf{x}_u \times \mathbf{x}_v\|^2 = EG - F^2$$

Unit-Normal

$$\mathbf{v} = \frac{\mathbf{x}_u \times \mathbf{x}_v}{\|\mathbf{x}_u \times \mathbf{x}_v\|}$$

Covariant Derivatives amount to  $\partial/\partial u, \partial/\partial v$  in context ...

$$\mathbf{x}_{uu}, \mathbf{x}_{uv} = \mathbf{x}_{vu}, \mathbf{x}_{vv}$$

Shape operator given by  $L, M, N$ :

$$L = S(\mathbf{x}_u) \cdot \mathbf{x}_u$$

$$M = S(\mathbf{x}_u) \cdot \mathbf{x}_v$$

$$N = S(\mathbf{x}_v) \cdot \mathbf{x}_v$$

It follows (work it out!)

$$K = \frac{LN - M^2}{EG - F^2}, \quad H = \frac{GL + EN - 2FM}{2(EG - F^2)}$$

Proof: apply  $\mathbf{v} = \mathbf{x}_u, \mathbf{w} = \mathbf{x}_v$  to earlier work. (pg. 220)

§5.5 : Implicit Case

If  $M : g = 0$  then  $\nabla g = \sum_{i=1}^3 \frac{\partial g}{\partial x_i} U_i$  is normal

Generally, if  $Z(P) \in T_p M^\perp \forall P \in M$  then  $Z$  is normal vector field and we can form unit-normal by use of  $Z$

$$U = \frac{1}{\|Z\|} Z$$

Let  $Z = \sum_i z_i U_i$  we calculate,

$$\nabla_V Z = \sum_i V[z_i] U_i$$

Moreover,

$$\nabla_V U = \nabla_V \left( \frac{Z}{\|Z\|} \right) = \frac{1}{\|Z\|} \nabla_V Z + \underbrace{V \left[ \frac{1}{\|Z\|} \right]}_{-N_V} Z$$

$$\therefore \nabla_V U = -\nabla_V U = -\frac{\nabla_V Z}{\|Z\|} + N_V$$

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Remark: I'm more or less skipping this section, interesting but not to our central story line for this course.

Def<sup>n</sup> (6.1) A regular curve  $\alpha$  in  $M$  is a principal curve provided the velocity  $\alpha'$  always points in principal direction

Def<sup>n</sup> (6.5) A regular curve  $\alpha$  in  $M$  is an asymptotic curve provided its velocity  $\alpha'$  always points in an asymptotic direction

— (asymptotic directions are those for which the normal curvature is zero;  $k(u) = S(u) \cdot u$ , see § 5.2 if forget) —

Def<sup>n</sup> (6.8) A curve  $\alpha$  in  $M \subset \mathbb{R}^3$  is a geodesic of  $M$  provided its acceleration  $\alpha''$  is always normal to  $M$ .

The essentials summarized: (pg. 247)

Principal curves:  $k(\alpha') = k_1$  or  $k_2$ ,  $S(\alpha')$  colinear to  $\alpha'$

Asymptotic curves:  $k(\alpha') = 0$ ,  $S(\alpha') \perp$  to  $\alpha'$ ,  $\alpha''$  tangent to  $M$

Geodesic curves:  ~~$\alpha'' = 0$~~ ,  $\alpha''$  normal to  $M$  (nothing about  $k$ )

Lemma (6.2)  $\alpha$  reg.,  $\nu$  the unit normal to  $M$

(1.)  $\alpha$  principal iff  $\nu'$  and  $\alpha'$  are colinear at each  $p \in M$ .

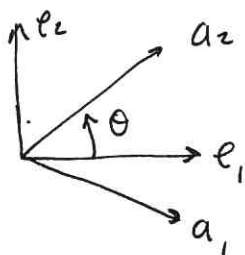
(2.)  $\alpha$  is principal curve then the principal curvature of  $M$  in the  $\alpha'$ -direction is  $k_{1,2} = \frac{\alpha'' \cdot \nu}{\alpha' \cdot \alpha'}$ .

Lemma (6.3) Let  $\alpha$  be curve formed by intersection  $M \subset \mathbb{R}^3$  by plane  $P$ . If the angle between  $M$  and  $P$  is constant along  $\alpha$  then  $\alpha$  is a principal curve of  $M$ .

## Lemma (6.4)

(15)

- (1.) If  $K(P) > 0$  then  $\nexists$  asymptotic directions at  $P$ .
- (2.) If  $K(P) < 0$  then  $\exists$  two asymptotic directions at  $P$  and these are bisected by principal directions at angle  $\theta$  s.t.  $\tan^2 \theta = \frac{-k_1(P)}{k_2(P)}$



- (3.) If  $K(P) = 0$  then every direction is asymptotic at  $P$  if  $P$  is a planar point, otherwise  $\exists$  exactly one asymptotic direction and it's also principal.

Proof = all from Euler's formula  $k(u) = k_1(P) \cos^2 \theta + k_2(P) \sin^2 \theta$ .

Remark (pg. 244) a surface is minimal iff through each point  $\exists!$  two asymptotic curves which cross  $\perp$ .

## § 5.7 SURFACES OF REVOLUTION

state Lemma 7-3 (pg. 256)

work out example 7-4